Battelle Memorial Institute

Report on Federal and Non-Federal Awards in Accordance with OMB Circular A-133 for the Year Ended September 30, 2010, and Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of the Financial Statements Performed in Accordance with *Government Auditing Standards* and Independent Auditors' Report on Compliance with Requirements Applicable to Each Major Federal Award Program and on Internal Control over Compliance in Accordance with OMB Circular A-133

BATTELLE MEMORIAL INSTITUTE

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BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2010

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
1. DIRECT FUNDING							
07	RD	Yes	Office of National Drug Control Policy	Drug-free Communities Support Program National Evaluation	$ 169,799	$ -	
11	417	Yes	Department of Commerce	Sea Grant Support	47,258		
11	431	Yes	Department of Commerce	Climate and Atmospheric Research	74,084		
11	RD	Yes	Department of Commerce	National Marine Fisheries Service	380		
11	RD	Yes	Department of Commerce	National Oceanic and Atmospheric Administration	258,415	68,672	
					380,137	68,672	
12	300	Yes	Department of Defense	Basic and Applied Scientific Research	56,803		
12	420	Yes	Department of Defense	Military Medical Research and Development	58,922		
12	RD	Yes	Department of Defense	ARRA-Army	206,499	70,885	
12	RD	Yes	Department of Defense	Advanced Research Projects Agency	557,494	53,478	
12	RD	Yes	Department of Defense	Air Force	27,028,763	4,313,075	
12	RD	Yes	Department of Defense	Army	169,550,515	101,830,145	
12	RD	Yes	Department of Defense	Classified	77,913,029	12,203,268	
12	RD	Yes	Department of Defense	Defense Information Systems Agency	2,471		
12	RD	Yes	Department of Defense	Defense Intelligence Agency	9,370		
12	RD	Yes	Department of Defense	High Performance Computing Modernization Program	(1,419)		
12	RD	Yes	Department of Defense	Joint IED Defeat Organization	1,886,451		
12	RD	Yes	Department of Defense	Joint Nonlethal Weapons Program Director	248,689		
12	RD	Yes	Department of Defense	Joint Program Executive Office	520,662		
12	RD	Yes	Department of Defense	Joint Program Manager Information Systems	4,008,256	1,601,535	
12	RD	Yes	Department of Defense	Joint Program Manager NBC	930,766		
12	RD	Yes	Department of Defense	Joint Program Office	2,634,727	896,005	
12	RD	Yes	Department of Defense	Joint Project Management Office	2,053,230	463,643	
12	RD	Yes	Department of Defense	Joint Requirements Office	13,247,835	1,676,195	
12	RD	Yes	Department of Defense	Logistics Information Service	1,897,052		
12	RD	Yes	Department of Defense	Medical Identification and Treatment Sus.	5		
12	RD	Yes	Department of Defense	Missile Defense Agency	593,606	103,009	
12	RD	Yes	Department of Defense	National Guard Bureau	4,059,600	11,040	
12	RD	Yes	Department of Defense	Navy	87,459,730	19,330,040	
12	RD	Yes	Department of Defense	Office of Deputy Assist. Secy. Of Defense	4,201,774	136,400	
12	RD	Yes	Department of Defense	Office of Secretary of Defense	917,430		
12	RD	Yes	Department of Defense	Office of Undersecretary of Defense	2,393,850		
12	RD	Yes	Department of Defense	Pentagon Force Protection Agency	3,108,746	566,491	
12	RD	Yes	Department of Defense	Special Operations Command	5,310,505	2,759,079	
12	RD	Yes	Department of Defense	Technical Information Center	1,774,713	227	
12	RD	Yes	Department of Defense	Threat Reduction Agency	12,587,991		
12	RD	Yes	Department of Defense	US Northern Command	1,463,217	193,484	
12	RD	Yes	Department of Defense	US STRATCOM	211,880	4,467	
12	RD	Yes	Department of Defense	Washington Headquarters Services	1,779,044	34,113	
					428,672,186	146,246,579	

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SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
14	RD	Yes	Department of Housing and Urban Development	Implementation of an Automated Heating System (AHS)	$ 16,969	$ -	
14	RD	Yes	Department of Housing and Urban Development	Office of Healthy Homes and Lead Hazard Control	130,913		
					147,882	-	
15	RD	Yes	Department of Interior	Minerals Management Service	221,530	42,475	
15	RD	Yes	Department of Interior	U.S. Bureau of Reclamation	(29)		
					221,501	42,475	
16	RD	Yes	Department of Justice	Environment and Natural Resources Division	3,555		
16	RD	Yes	Department of Justice	Federal Bureau of Investigation	771,929	5,580	
					775,484	5,580	
20	108	Yes	Department of Transportation	Aviation Research Grants	17,250		
20	RD	Yes	Department of Transportation	ARRA — Federal Highway Administration	432,197	54,071	
20	RD	Yes	Department of Transportation	Bureau of Transportation Statistics	(9,289)		
20	RD	Yes	Department of Transportation	Federal Aviation Administration	331,962		
20	RD	Yes	Department of Transportation	Federal Highway Administration	4,928,799	2,205,143	
20	RD	Yes	Department of Transportation	Federal Motor Carrier Safety Administration	19,675		
20	RD	Yes	Department of Transportation	National Cooperative Highway Research Program	(12,817)	(23,408)	
20	RD	Yes	Department of Transportation	National Highway Traffic and Safety Administration	1,962,041	963,212	
20	RD	Yes	Department of Transportation	Pipeline and Hazardous Material Safety Administration	130,423	47,500	
					7,800,241	3,246,518	
42	RD	Yes	Library of Congress	Open World Library of Congress	(1,723)	(163)	
43	RD	Yes	National Aeronautics and Space Administration	Ames Research Center	16,874		
43	RD	Yes	National Aeronautics and Space Administration	Earth-Sun System Division	142,540		
43	RD	Yes	National Aeronautics and Space Administration	Glenn Research Center	57,551	82	
43	RD	Yes	National Aeronautics and Space Administration	Jet Propulsion Lab	5,693,455	236,444	
43	RD	Yes	National Aeronautics and Space Administration	Johnson Space Center	(5,526)		
43	RD	Yes	National Aeronautics and Space Administration	Marshall Space Flight Center	884		
43	RD	Yes	National Aeronautics and Space Administration	Multiscale Modeling of Aerosol Impact on Clouds and Precipit	406,691	49,502	
43	RD	Yes	National Aeronautics and Space Administration	Office of Earth Science	162,580	25,284	
43	RD	Yes	National Aeronautics and Space Administration	Science Mission Directorate	59,898		
43	RD	Yes	National Aeronautics and Space Administration	Quantifying Impacts of Cloud Inhomogeneity on Satellite	59,929		
43	RD	Yes	National Aeronautics and Space Administration	Thermal Wadis in Support of Lunar Exploration	269		
					6,595,145	311,312	
47	050	Yes	National Science Foundation	Geosciences	10,763		
47	070	Yes	National Science Foundation	Computer and Information Science and Engineering	11,982		
47	RD	Yes	National Science Foundation	NSF Antarctica Medical Assessment	(91)		
47	RD	Yes	National Science Foundation	OPP Medical Review Panel	4,704		
47	RD	Yes	National Science Foundation	Structural and Functional Property Integration for Protein S	(2,247)		
47	RD	Yes	National Science Foundation	USAP Medical Review Panel Meeting	(484)		
					24,627	-	

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SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2010

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
66	509	Yes	Environmental Protection Agency	Science To Achieve Results (STAR) Research Program	$ 97,625	$ -	
66	511	Yes	Environmental Protection Agency	Office of Research and Development Consolidated Research/Training/Fellowships	1,073,166	2,144	
66	931	Yes	Environmental Protection Agency	International Financial Assistance Projects	96,165	74,157	
66	RD	Yes	Environmental Protection Agency	Air Quality Planning and Standards Office	(301)		
66	RD	Yes	Environmental Protection Agency	Coalbed Methane in Ukraine	(394)		
66	RD	Yes	Environmental Protection Agency	Gulf of Mexico Program Office	73,885	5,125	
66	RD	Yes	Environmental Protection Agency	National Center for Environmental Assessment	837,820	258,996	
66	RD	Yes	Environmental Protection Agency	National Exposure Research Lab	151,157	(22)	
66	RD	Yes	Environmental Protection Agency	National Homeland Security Research Center	3,556,536	382,395	
66	RD	Yes	Environmental Protection Agency	National Risk Management Research Lab	5,942,864	2,158,722	
66	RD	Yes	Environmental Protection Agency	Office of Air and Radiation	35,031		
66	RD	Yes	Environmental Protection Agency	Office of Enforcement and Compliance Assurance	(124)		
66	RD	Yes	Environmental Protection Agency	Office of Pollution Prevention	2,997,570	73,846	
66	RD	Yes	Environmental Protection Agency	Office of R&D	1,903,947	290,396	
66	RD	Yes	Environmental Protection Agency	Office of Science and Technology	176,236	3,237	
66	RD	Yes	Environmental Protection Agency	Office of Science Coordination and Policy	269,541	1,200	
66	RD	Yes	Environmental Protection Agency	Office of Water	339,221	13,962	
66	RD	Yes	Environmental Protection Agency	Region 4	12,185		
					17,562,130	3,264,158	
77	RD	Yes	Nuclear Regulatory Commission	Dissimilar Metal Weld Pipe Testing	16,594		
77	RD	Yes	Nuclear Regulatory Commission	Evaluation of Leak before-Break Criteria	1,858		
77	RD	Yes	Nuclear Regulatory Commission	Maximumizing Enhancements in Risk Informed Technology (MERIT)	(1,757)		
77	RD	Yes	Nuclear Regulatory Commission	National Level Exercise 2011 Development Support	163		
77	RD	Yes	Nuclear Regulatory Commission	Reactor Coolant Pressure Boundary Analyses — Task 1	820,999		
					837,857	-	
81	086	Yes	Department of Energy	Conservation Research and Development	294,627	12,500	
81	089	Yes	Department of Energy	Fossil Energy Research and Development	12,515,113	3,071,073	
81	122	Yes	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analys	7,363,820	2,740,585	
81	135	Yes	Department of Energy	ARRA — Advanced Research and Projects Agency — Energy Financial Assistance Program	27,405		
81	RD	Yes	Department of Energy	Chicago Operations Office	262		
81	RD	Yes	Department of Energy	Idaho Operations Office	(4,085)	(1,872)	
81	RD	Yes	Department of Energy	National Energy Technology Laboratory (NETL)	113,060	8,150	
81	RD	Yes	Department of Energy	National Nuclear Security Administration	658		
81	RD	Yes	Department of Energy	Office of Environmental Management	(732)		
81	RD	Yes	Department of Energy	Ohio Field Office	7,241		
81	RD	Yes	Department of Energy	Provide Sharepoint Site Support for PNWD Gridsmart Demonstration Program	444		
81	RD	Yes	Department of Energy	Rocky Mountain Oil Field	(97)		
81	RD	Yes	Department of Energy	Sandia National Laboratory	8,341		
					20,326,057	5,830,436	

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SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	242	Yes	Department of Health and Human Services	Mental Health Research Grants	$ 664,795	$ 232,102	
93	262	Yes	Department of Health and Human Services	Occupational Safety and Health Program	507,409	176	
93	273	Yes	Department of Health and Human Services	Alcohol Research Programs	233,742		
93	279	Yes	Department of Health and Human Services	Drug Abuse and Addiction Research Programs	176,456	74,343	
93	361	Yes	Department of Health and Human Services	Nursing Research	374,310	209,011	
93	393	Yes	Department of Health and Human Services	Cancer Cause and Prevention Research	900,481	110,464	
93	837	Yes	Department of Health and Human Services	Cardiovascular Diseases Research	15,590		
93	848	Yes	Department of Health and Human Services	Research (B)	(6,936)		
93	855	Yes	Department of Health and Human Services	Allergy, Immunology and Transplantation Research	61,108	31,015	
93	864	Yes	Department of Health and Human Services	Population Research	91,794	8,105	
93	RD	Yes	Department of Health and Human Services	ARRA — Indian Health Service	653,137		
93	RD	Yes	Department of Health and Human Services	ARRA — National Cancer Institute	1,175		
93	RD	Yes	Department of Health and Human Services	Agency for Health Care Res. and Quality	4,630,445	1,196,122	
93	RD	Yes	Department of Health and Human Services	Centers for Disease Control	22,760,695	3,140,121	
93	RD	Yes	Department of Health and Human Services	Food and Drug Administration	1,616,552	8,025	
93	RD	Yes	Department of Health and Human Services	Indian Health Service	402,473		
93	RD	Yes	Department of Health and Human Services	National Cancer Institute	2,359,097		
93	RD	Yes	Department of Health and Human Services	National Heart Lung and Blood Institute	25,661		
93	RD	Yes	Department of Health and Human Services	National Institute Allergy and Infectious Disease	27,557,057	7,292,746	
93	RD	Yes	Department of Health and Human Services	National Institute Environmental Health Science	29,411,860	41,651	
93	RD	Yes	Department of Health and Human Services	National Institute Occupational Safety/Health	157,803	4,800	
93	RD	Yes	Department of Health and Human Services	National Institutes of Health	62,610	23	
93	RD	Yes	Department of Health and Human Services	Office of the Assistant Secretary for Preparedness and Response	6,392		
93	RD	Yes	Department of Health and Human Services	Program Support Center	58,326	44,649	
93	RD	Yes	Department of Health and Human Services	Statistical meta-analysis of anthrax therapeutic studies	54,678		
					92,776,710	12,393,353	
97	069	Yes	Department of Homeland Security	Aviation Research Grants	17,017		
97	RD	Yes	Department of Homeland Security	ARRA — Transportation Security Administration	634,240	342,139	
97	RD	Yes	Department of Homeland Security	Advanced Research Projects Agency	647,062	28,323	
97	RD	Yes	Department of Homeland Security	Chemical Security Analysis Center	2,384,100	44,995	
97	RD	Yes	Department of Homeland Security	Coast Guard	4,833,921	419,946	
97	RD	Yes	Department of Homeland Security	Directorate for Science and Technology	9,800,792		
97	RD	Yes	Department of Homeland Security	National Protection and Programs Directorate	2,080,748	706,109	
97	RD	Yes	Department of Homeland Security	Office of Health Affairs	2,274,383	52,513	
97	RD	Yes	Department of Homeland Security	Transportation Security Administration	31,963,174	8,448,784	
97	RD	Yes	Department of Homeland Security	Transportation Security Laboratory	5,365,786	1,706,282	
97	RD	Yes	Department of Homeland Security	US Secret Service	236,688		
					60,237,911	11,749,091	
99	RD	Yes	Miscellaneous	US House of Representatives	194,437		
			Total Direct Funding		$ 636,720,381	$ 183,158,011	

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SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
2. INDIRECT FUNDING (BATTELLE IS SUB RECIPIENT/SUBCONTRACTOR)							
10	RD	Yes	Department of Agriculture	JDC Phosphate	$ 26,546	$ 10,385	56657
10	RD	Yes	Department of Agriculture	Kansas State University	(36)		S03081
10	RD	Yes	Department of Agriculture	RTI International	5,907		1-312-0211911
					32,417	10,385	
11	RD	Yes	Department of Commerce	Cooperative Institute for Coastal and Estuarine Env. Tech.	29,087	4,133	08042
11	RD	Yes	Department of Commerce	Lower Columbia River Estuary Partnership	50,694		25-2010
11	RD	Yes	Department of Commerce	Kitsap County	14,031		48392
11	RD	Yes	Department of Commerce	Scripps Institution of Oceanography, UCSD	(13)		10249341
11	RD	Yes	Department of Commerce	Skagit River System Cooperative	(487)		AC213.00
11	RD	Yes	Department of Commerce	Skagit River Watershed Council	55,611		58154
11	RD	Yes	Department of Commerce	University of Massachusetts	31,131	5,608	GRANT #S20090000007227
					180,054	9,741	
12	300	Yes	Department of Defense	Cal Poly Corporation	24,053		08-014-48920
12	300	Yes	Department of Defense	Oregon State University	28,039		No. K9643E-A,K9583A-A, K9474R-A
12	431	Yes	Department of Defense	Leonard Wood Institute	1,011,524		SUBAWARD AGREEMENT LWI-191-040
12	431	Yes	Department of Defense	Leonard Wood Institute	563,749		SUBAWARD AGREEMENT LWI-191-039
12	431	Yes	Department of Defense	Leonard Wood Institute	492,417		SUBAWARD AGREEMENT LWI-191-085
12	431	Yes	Department of Defense	University of Florida	28,512		SUBAWARD AGREEMENT UF09082
12	800	Yes	Department of Defense	University of Dayton Research Institute	1,156,775	64,959	AGREEMENT NO. RSC07022/CLINS 001, 002, 003 and 004
12	800	Yes	Department of Defense	University of Dayton Research Institute	47,919		SUBKTR #RSC10037
12	RD	Yes	Department of Defense	3-TEX INC	9,602		P.O. 3379
12	RD	Yes	Department of Defense	AAI Services Corp.	68,236		SUBCONTRACT NO. 3T089-026, PO BDS000350
12	RD	Yes	Department of Defense	Advanced Life Sciences	1,661,687		SUBK OP51395
12	RD	Yes	Department of Defense	Analytical Services Inc.	26,027		SUBCONTRACT ASI-2007-C-0007/BP0 07-007-021 T.O. 1
12	RD	Yes	Department of Defense	Analytical Services Inc.	225,421		SUBCONTRACT ASI-2007-C-0007/BP0 07-007-021 T.O. 2
12	RD	Yes	Department of Defense	Analytical Services Inc.	411,470		SUBCONTRACT ASI-2007-C-0007/BP0 07-007-021 T.O. 3
12	RD	Yes	Department of Defense	Analytical Services Inc.	652,882		SUBCONTRACT ASI-2007-C-0007/BP0 07-007-021 T.O. 5
12	RD	Yes	Department of Defense	Applied Research Associates Inc.	51,533		SUBCONTRACT NO. S-29000.50
12	RD	Yes	Department of Defense	Applied Research Associates Inc.	(1,175)		SUBCONTRACT NO. S-29000.54
12	RD	Yes	Department of Defense	Applied Research Associates Inc.	245,175		SUBCONTRK NO S-29000.L00001.00001
12	RD	Yes	Department of Defense	Applied Research Associates Inc.	10,155		SUBKTR NO S-000501.00001
12	RD	Yes	Department of Defense	Applied Research Associates Inc.	130,230		SUBKTR NO S-000500.00001
12	RD	Yes	Department of Defense	Aptima, Inc.	4,120		0461-1479
12	RD	Yes	Department of Defense	Arinc Inc.	(3,333)		AGREEMENT NO. 226226/SIN 874-1
12	RD	Yes	Department of Defense	Arinc Inc.	(29,862)		SUBCONTRACT NO. 169913/DO 01
12	RD	Yes	Department of Defense	Arizona State University	189		W81XWWH-07-1-0549
12	RD	Yes	Department of Defense	ASHWIN-USHAS Corporation Inc.	30,817		PO 5023
12	RD	Yes	Department of Defense	AVI Biopharma	215,573		SUBCONTRACT OP58978
12	RD	Yes	Department of Defense	AVI Biopharma	42,434		SUBCONTRACT NO. OP61258
12	RD	Yes	Department of Defense	Azimuth Inc.	43,972		SUBCONR NO BAT-1633-S-20090501
12	RD	Yes	Department of Defense	BAE Systems	42,952		SUBCONTRACT 329486
12	RD	Yes	Department of Defense	bd Systems, Inc.	(3,820)		CAASETA 11600-BATTELLE-020/DO 0011
12	RD	Yes	Department of Defense	Bechtel Group Inc.	153,261		BOA 24719-TSA-001/DELIVERY ORDER 01
12	RD	Yes	Department of Defense	Bechtel Group Inc	3,994,836	119,438	SUBCONTRACT NO. 24852-FC7-T000-00002
12	RD	Yes	Department of Defense	Bechtel Group Inc.	71,148		SUBCONTRACT 24852-TO-002 TO 004

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SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Bechtel Group Inc.	$ 1,204,405	$ -	SUBCONTRACT NO 24852-TO-002
12	RD	Yes	Department of Defense	Bechtel Group Inc.	61,226		SUBCONTRACT 24852-TO-002 TO 006
12	RD	Yes	Department of Defense	Bechtel Group Inc.	426,890		SUBCONTRACT 24852-TO-002 TO 003TS - TRADE STUDIES
12	RD	Yes	Department of Defense	Bechtel Group Inc.	547,289		SUBK 24915-300/TO 001
12	RD	Yes	Department of Defense	Bechtel Group Inc.	(16,619)		SUBK 24915-300/TO 004 LNTP
12	RD	Yes	Department of Defense	Bechtel Group Inc.	947		SUBK 24915-300/TO 005
12	RD	Yes	Department of Defense	Bechtel Group Inc.	340		SUBK 24915-300/TO 007 LNTP
12	RD	Yes	Department of Defense	Bechtel Group Inc.	2,228,493		BNI-BMI-HXYT-00001
12	RD	Yes	Department of Defense	Bechtel Group Inc.	140,489		BNI-BMI-003
12	RD	Yes	Department of Defense	Bennet Aerospace, LLC	(83)		56594
12	RD	Yes	Department of Defense	BK Systems	20,615	6,563	51849
12	RD	Yes	Department of Defense	Bluefin Robotics Corp.	32,783		PO 07-242. 09-681
12	RD	Yes	Department of Defense	Booz-Allen + Hamilton Inc	69,011		SUBCONTRACT NO 97544XSB21/TASK ORDER 001
12	RD	Yes	Department of Defense	Booz-Allen + Hamilton Inc.	54,722		SUBCONTRACT P.O. #97442CBS0G
12	RD	Yes	Department of Defense	BridgePort Instruments	(92)		51611
12	RD	Yes	Department of Defense	Business Technology and Solutions	802,470		SUBCONTRACT PASS 0011-02/TO 1011-BATTELLE
12	RD	Yes	Department of Defense	CACI Inc.	91,320		SUBCONTRACT No. : S11-113640
12	RD	Yes	Department of Defense	Capstone Corp.	(32,711)		SUBCONTRACT AGREEMENT NO 2007CAP-010
12	RD	Yes	Department of Defense	Capstone Corp.	(7,092)		SUBCONTRACT AGREEMENT 2008CAP-008
12	RD	Yes	Department of Defense	CDI Marine	(1,129)		PURCHASE ORDER 2332080745
12	RD	Yes	Department of Defense	Classified	408,688		BATT-001:___083107:___021108
12	RD	Yes	Department of Defense	Classified	(644)		112008-1
12	RD	Yes	Department of Defense	Classified	656,200		CONT NO PO 7209-6 / PO TY 7210-6
12	RD	Yes	Department of Defense	Classified	1,331,336		CONT NO PO TY 7210-6
12	RD	Yes	Department of Defense	Classified	389,096		PO NO CMOMOO4
12	RD	Yes	Department of Defense	Classified	35,105		PO NO TW-040810-0H-1
12	RD	Yes	Department of Defense	Classified	95,162		SUBKTR NO BBMKSA004
12	RD	Yes	Department of Defense	Classified	1,227,596		39001
12	RD	Yes	Department of Defense	Coleman Res Corp.	172,215		SUBCONTRACT 11006SA007
12	RD	Yes	Department of Defense	Computer Sciences Corp.	732,492		SUBKTR NO S9000296
12	RD	Yes	Department of Defense	Computer Sciences Corp.	238,262		SUBCONTRACT NO. S1015372/TO 001
12	RD	Yes	Department of Defense	Concurrent Technologies Corp.	25,914		TASK ORDER NO. 090200133
12	RD	Yes	Department of Defense	Concurrent Technologies Corp.	70,925		PO 091000175
12	RD	Yes	Department of Defense	Concurrent Technologies Corp.	5,031		TASK ORDER 051000136
12	RD	Yes	Department of Defense	Concurrent Technologies Corp.	22		LETTER TASK ORDER 070400020 TASK 0451
12	RD	Yes	Department of Defense	Concurrent Technologies Corp.	160,974		TO NO. 070400292
12	RD	Yes	Department of Defense	Concurrent Technologies Corp.	1,566,436		SUBKTR NO 090900059
12	RD	Yes	Department of Defense	Concurrent Technologies Corp.	161,389		BOA-NDCEE-BATTELLE/TO 081000076
12	RD	Yes	Department of Defense	CORE6 Solutions LLC	221,140		SUBCONTRACT NO. 1001BMI-0009
12	RD	Yes	Department of Defense	CROSSLINK	314,212		PURCHASE ORDER 2805XNTD. PO 3311XNT
12	RD	Yes	Department of Defense	Cubic Applicatons Inc.	179,380		CAJ-1247-3200-04/TO 2
12	RD	Yes	Department of Defense	Delta Resources Inc.	223,437		SUBC NO. N00178-04-D-304-BAT/TI BAT-1/ DO #EH01
12	RD	Yes	Department of Defense	Dyncorp	182,406		SubK S1008218/TO 0001
12	RD	Yes	Department of Defense	Dyncorp	72,840		SubK S1008218/TO 0010
12	RD	Yes	Department of Defense	Dyncorp	42,086		SubK S1008218/TO 0012
12	RD	Yes	Department of Defense	Dyncorp	12,732		SubK S1008218/TO 014
12	RD	Yes	Department of Defense	Dyncorp	567,593		SubK S1008218/TO 013
12	RD	Yes	Department of Defense	Dyncorp	173,924		SubK S1008218/TO 015
12	RD	Yes	Department of Defense	Dyncorp	953,415		SubK S1008218/TO 016
12	RD	Yes	Department of Defense	Dyncorp	1,058,067		SubK S1008218/TO 019
12	RD	Yes	Department of Defense	Dyncorp	455,659		SubK S100821817/TO 017

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BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2010

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Dyncorp	$ 1,582,609	$ -	SubK S1008218/TO 020
12	RD	Yes	Department of Defense	Dyncorp	44,499		SubK S1008218/TO 018
12	RD	Yes	Department of Defense	Dyncorp	405,175		SubK S1008218/TO 022
12	RD	Yes	Department of Defense	Dyncorp	330,867		SUBCONTRACT NO. S1008218/TASK ORDER 21
12	RD	Yes	Department of Defense	Dyncorp	10,247		SUBCONTRACT NO. S1008218/TASK ORDER 23
12	RD	Yes	Department of Defense	Dyncorp	34,818		SUBCONTRACT NO. S1008218/TASK ORDER 24
12	RD	Yes	Department of Defense	Ecor Solutions Inc.	11,283		SERVICE ORDER NO. N0150.419
12	RD	Yes	Department of Defense	EDO Professional Services	(1,492)		EDO-07-SA-0025/D187-001
12	RD	Yes	Department of Defense	EG&G	2,643,703	362,773	SUBCONTRACT G24101 - FY08 SUBCONT NO CHG DM24101 FY09
12	RD	Yes	Department of Defense	EG&G	20,730		SUBCONTRACT NO. DMB46251
12	RD	Yes	Department of Defense	EG&G	600,664		SUBKTR NO EGG0031053/REL 001
12	RD	Yes	Department of Defense	EG&G	41,170,136	3,776,535	SUBCONTRACT NO. DM24101-FY10
12	RD	Yes	Department of Defense	Elusys Therapeutics Inc.	313,246		STUDY NO OP54952 TO LSA OP45836
12	RD	Yes	Department of Defense	Engineering and Environment, Inc.	61,640		TEC-0025UB03
12	RD	Yes	Department of Defense	European Security Center STDA, INSCOM Futures — IDC			52322
12	RD	Yes	Department of Defense	EVOLVA	88,419		SUBCONTRACT NO. OP58238
12	RD	Yes	Department of Defense	Front Edge Technology	248,244		51661
12	RD	Yes	Department of Defense	Gas Technology Institute	21		TSA NO OP54395R
12	RD	Yes	Department of Defense	General Dynamics Corp.	10,818		PO NUMBER: NNS162-050; SNW110-067
12	RD	Yes	Department of Defense	General Dynamics Corp.	379		PO NUMBER SNU107-079
12	RD	Yes	Department of Defense	General Dynamics Corp.	292,962		SUBCONTRACT NO 09-LSC-005/09-SC-005-12467
12	RD	Yes	Department of Defense	General Dynamics Corp.	21,355		PURCHASE ORDER 40024912
12	RD	Yes	Department of Defense	General Dynamics Corp.	371,831		PO 118796
12	RD	Yes	Department of Defense	General Dynamics Corp.	721,938		SUBKTR NO 10-SC-009-13531
12	RD	Yes	Department of Defense	General Dynamics Corp.	29,778		PO 135160
12	RD	Yes	Department of Defense	General Dynamics Corp.	22,398		ARCHIMEDES
12	RD	Yes	Department of Defense	General Dynamics Corp.	843		PO #35166375
12	RD	Yes	Department of Defense	General Dynamics Corp.	151,845		VARIOUS PURCHASE ORDERs - SEE SPEC COND
12	RD	Yes	Department of Defense	General Dynamics Corp.	58,833		PO 53284, PO 51854, PO 65244, PO 81717
12	RD	Yes	Department of Defense	General Dynamics Corp.	122,802		VARIOUS PURCHASE ORDERs - SPEC COND
12	RD	Yes	Department of Defense	General Dynamics Corp.	(1,549)		PO 84238, PO 90522, PO 100807
12	RD	Yes	Department of Defense	General Dynamics Corp.	10,572		PO 111650, 111636
12	RD	Yes	Department of Defense	General Dynamics Corp.	108,808		PO 137231
12	RD	Yes	Department of Defense	General Dynamics Corp.	47,897		JOINT BIOLOGICAL DETECTION SYSTEM CONTROL ASSEMBLY OBSOLESCENCE ISSUES
12	RD	Yes	Department of Defense	General Dynamics Corp.	186,890		PO 135353
12	RD	Yes	Department of Defense	General Electric Co.	71,941		200-18-14G32206
12	RD	Yes	Department of Defense	GKN Aerospace	83,487		PO 84258 SUBCONTRACT GKN-09-014
12	RD	Yes	Department of Defense	GKN Aerospace	(18,919)		Proposal OP52050
12	RD	Yes	Department of Defense	Goodrich Corp.	5,567		SUBCONTRACT 160411
12	RD	Yes	Department of Defense	Goodrich Corp.	579		SUBCONTRACT NO. 161300
12	RD	Yes	Department of Defense	Goodrich Corp.	4,107		PURCHASE ORDER 211131
12	RD	Yes	Department of Defense	Goodrich Corp.	642,550		SUBTK NO 401039
12	RD	Yes	Department of Defense	Grow Group Inc.	2,318		P.O. 0107460 - 09
12	RD	Yes	Department of Defense	Harris Corp.	1,652,714		SUBCONTRACT NO. S06-009-007
12	RD	Yes	Department of Defense	Harris Corp.	(227)		SUBKT NO A000089568
12	RD	Yes	Department of Defense	Harris Corp.	77,424		SUBK NO A0148, A5401
12	RD	Yes	Department of Defense	Harris Corp.	9,577		A000123252
12	RD	Yes	Department of Defense	HJ Ford	6,535		SUBCONTRACT NO. BAT-PREDATOR-08-001
12	RD	Yes	Department of Defense	HJ Ford	253,833		SUBCONTRACT NO. BAT-PREDATOR-09-001
12	RD	Yes	Department of Defense	Human Resources Research Organization	(277)		PURCHASE ORDER 6883

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Intecon LLC	$ 832	$ -	SUBCONTRACT NO. N2A2S-BATTELLE-080707
12	RD	Yes	Department of Defense	Intecon LLC	17,473		PO 30-0011-03, PO 30-0021-03
12	RD	Yes	Department of Defense	Intecon LLC	456,008		PO 30-0010-03
12	RD	Yes	Department of Defense	Intecon LLC	265,105		PO 30-0014-03
12	RD	Yes	Department of Defense	Intecon LLC	153,935		PO 30-0010-04
12	RD	Yes	Department of Defense	Intecon LLC	44,137		PO 30-0014-04
12	RD	Yes	Department of Defense	IQ Corp.	(201)		SUBCONTRACT and PROPOSAL OP43611
12	RD	Yes	Department of Defense	ITS Corporation	946		P.O. RV-09-0006
12	RD	Yes	Department of Defense	ITT Corp.	45,246		SUBK NSTA2222.1235.01, T.O. #04
12	RD	Yes	Department of Defense	ITT Corp.	172,022		SUBK NSTA2222.1235.01, T.O. #05
12	RD	Yes	Department of Defense	ITT Corp.	1,234		SUBKTR NO 709NSS-01 TO 06-342954
12	RD	Yes	Department of Defense	Johns Hopkins University	167,986		CONTRACT NO. 962254
12	RD	Yes	Department of Defense	KAB Laboratories	27		7141-KAB-0048/TASK NO 0073
12	RD	Yes	Department of Defense	L-3 Communications	86,589		PO 10DP0179
12	RD	Yes	Department of Defense	L-3 Services Inc.	123,146		PO SVA-100032
12	RD	Yes	Department of Defense	L-3 Services Inc.	350,892		PO SVA-000166
12	RD	Yes	Department of Defense	Leonard Wood Institute	(1,283)		SUBAWARD AGREEMENT NO. LW16011
12	RD	Yes	Department of Defense	Leonard Wood Institute	91,866	68,919	SUBCONTRACTS AGREEMENT LWI 28-1080
12	RD	Yes	Department of Defense	Leonard Wood Institute	252,346	184,625	SUBAWARD AGREEMENT LWI-28-1086
12	RD	Yes	Department of Defense	Lockheed Martin Corp.	399		SUBCONTRACT NO. 8100000142
12	RD	Yes	Department of Defense	Lockheed Martin Corp.	56,140		DEFINITIVE SUBCONTRACT NO. 8100000750
12	RD	Yes	Department of Defense	Lockheed Martin Corp.	(3,046)		DEFINITIVE SUBCONTRACT 8100001228
12	RD	Yes	Department of Defense	Lockheed Martin Corp.	2,832		DEFINITIVE CONTRACT NO. 8100001361
12	RD	Yes	Department of Defense	Lockheed Martin Corp	878		PO 7164949
12	RD	Yes	Department of Defense	Lockheed Martin Corp.	129,473		PURCHASE ORDER 4100059285
12	RD	Yes	Department of Defense	Lockheed Martin Corp.	266,202		TO SUBCONTK AGR NO RRMIS6304/UMB MASTER AGR #RRMGS3G51
12	RD	Yes	Department of Defense	Logistics Management Institute	(1,231)		SUBCONTRACT NO. 0502/TO 01
12	RD	Yes	Department of Defense	Management Sciences, Inc	18,413		58864
12	RD	Yes	Department of Defense	Mantech Environ Tech Inc.	2,884,822		MIST-07-Battelle-C-077-23666
12	RD	Yes	Department of Defense	McDermott Intl Inc.	30,958		P.O. NUMBER; PO-09-163
12	RD	Yes	Department of Defense	Metss Corp.	372		SUBCONTRACT AGREEMENT NO. METSS-BATTELLE-6739-DO3-01-FY2007
12	RD	Yes	Department of Defense	Metss Corp.	67		SUBCONTRACT AGREEMENT METSS-BATTELLE-6719-DO-0401-FY2008
12	RD	Yes	Department of Defense	Metss Corp.	49,782		METSS-BATTELLE-6872-01.10
12	RD	Yes	Department of Defense	Monell Chemical Senses Center	(17)		5-84185-01-6163
12	RD	Yes	Department of Defense	Nanoscale Corp.	88,674		PO 5910, PO591B
12	RD	Yes	Department of Defense	Nanoscale Corp.	522		P.O. NO. 5938
12	RD	Yes	Department of Defense	Nanoscale Corp.	11,519		PO 6254
12	RD	Yes	Department of Defense	Neany Inc.	25,863		PO 5731
12	RD	Yes	Department of Defense	Ocean Designs Inc.	141		PO 55293; SUPPLIER NO 3941
12	RD	Yes	Department of Defense	Onconova Therapeutics Inc.	297,097	128	MLSA NO. OP57383 (5464) ORDER NO. 1
12	RD	Yes	Department of Defense	Orbital Sciences Corp.	304,859		SUBCONTRACT NO. 1020900171
12	RD	Yes	Department of Defense	Orbital Sciences Corp.	55,569		CONTRACT NO. 1020900171
12	RD	Yes	Department of Defense	Oregon Nanoscience and Microtechnologies Institute	192,126		235271C-Task No. 1.2, 1.5, K9584A-A, 280710C - 1.5
12	RD	Yes	Department of Defense	Oregon State University	16,112		No. K9643E-A,K9583A-A
12	RD	Yes	Department of Defense	Patricio Enterprises Inc.	10,003		LETTER SUBCONTRACT NO. 09-BATT-001
12	RD	Yes	Department of Defense	Patricio Enterprises Inc.	9,602		SUBKTR NO 09-BATT-001/TO 002
12	RD	Yes	Department of Defense	Perkins and Will	1,794		SUBCONTRACT NO. WFF-SC-0015/TASK ORDER NO. BATTELLE-TO-001
12	RD	Yes	Department of Defense	Perot Systems Government Services	13,127		7010-0011-028
12	RD	Yes	Department of Defense	Physical Optics Corporation	3,701		55897
12	RD	Yes	Department of Defense	Polu Kai Services LLC	205,341		SUBCONTRACT
12	RD	Yes	Department of Defense	Prevailance Inc.	65,263		SUBC NO. 20091001/T.O. 0001
12	RD	Yes	Department of Defense	Quantum Technology Services, Inc.	36		55512

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	Quick-Med Technologies Inc.	$ 118,544	$ -	SUBCONTRACT NO. OP54384
12	RD	Yes	Department of Defense	Raytheon — Integrated Defense Systems	120		4400277422
12	RD	Yes	Department of Defense	Real-Time Innovations, Inc	24,837		60028
12	RD	Yes	Department of Defense	Renewable Alternatives, LLC	312,375	(174)	53152
12	RD	Yes	Department of Defense	RPS Solutions Inc.	42,555		PO #RPS-10-050
12	RD	Yes	Department of Defense	Rutgers University	19		00003185
12	RD	Yes	Department of Defense	SCI Applications Intl Corp.	(1,067)		4400145826
12	RD	Yes	Department of Defense	SCI Applications Intl Corp.	76,438		SUBCONTRACT NO. 4400119050
12	RD	Yes	Department of Defense	SCI Applications Intl Corp.	153,046		SUBCONTRACT NO. P010022953
12	RD	Yes	Department of Defense	Science and Engineering Services Inc.	(16,478)		SUBCONTRACT NO. 1069-010-001
12	RD	Yes	Department of Defense	Science Applications International Corporation — SAIC	173,560		4400160963
12	RD	Yes	Department of Defense	Science Applications International Corporation (SAIC)	28		4500829423
12	RD	Yes	Department of Defense	Scientia Global Inc.	136,298		SUB CONTR NO MNAS-09-C-0001
12	RD	Yes	Department of Defense	Scitor Corp.	288,593		SUBCONTRACT G1008-001/TASK ORDER 001-G1008
12	RD	Yes	Department of Defense	Scitor Corp	16,051		SUBCONTRACT G1008-001/TASK ORDER 005-G1008
12	RD	Yes	Department of Defense	Scitor Corp.	1,658,280		SUBCONTRACT G1008-001/TASK ORDER 013-G1008
12	RD	Yes	Department of Defense	Scitor Corp.	339,647		SUBCONTRACT G1008-001/TASK ORDER 016-G1008
12	RD	Yes	Department of Defense	Scitor Corp.	756,311		SUBCONTRACT G1008-001/TASK ORDER 017-G1008
12	RD	Yes	Department of Defense	Scripps Institution of Oceanography, UCSD	(35)		10275474
12	RD	Yes	Department of Defense	Seaward Services Inc.			PURCHASE ORDER NO. 32-16289
12	RD	Yes	Department of Defense	Sensitive Client	(10,862)		PO NO 4400098336/VENDOR NO 6837
12	RD	Yes	Department of Defense	Sensitive Client	176,053		PO NO 4400098336/VENDOR NO 6837
12	RD	Yes	Department of Defense	Shaw Environmental	546,592	18,748	PO 471580
12	RD	Yes	Department of Defense	Shaw Environmental	1,544,219	49,836	PO 569560
12	RD	Yes	Department of Defense	Sierra Nevada Corporation	1,267,528		S10CNH057-58362
12	RD	Yes	Department of Defense	Soar Technologies			SUBCONTRACT AGREEMENT NO 10117-1
12	RD	Yes	Department of Defense	Sparta Inc.	319		SUBCONTRACT NO. 07-1168
12	RD	Yes	Department of Defense	SRA	180,188		SUBK S440000055 / PROJECT # 11970161
12	RD	Yes	Department of Defense	SRI Intl	240,054		SUBCONTRACT NO. 61-000754
12	RD	Yes	Department of Defense	SRI Intl	238,604		SUBCONTRACT NO. 61-000765
12	RD	Yes	Department of Defense	Srico Inc.	(803)		PO-1024/SUBCONTRACT AGREEMENT
12	RD	Yes	Department of Defense	Srico Inc.	9,230		PURCHASE ORDER 1117
12	RD	Yes	Department of Defense	Support Systems Associates Inc.			BATTELLE 0211-01-73/DO H002
12	RD	Yes	Department of Defense	Survice Engineering Company	79,985		P.O. NO. 09-30/SURVICE PRJ #8800-001
12	RD	Yes	Department of Defense	Sverdrup Inc.	(82,917)		SUBCONTRACT BATTELLE-2/TASK ORDER 436
12	RD	Yes	Department of Defense	Sverdrup Inc.	(10,844)		SUBCONTRACT BATTELLE-2/TASK ORDER 444
12	RD	Yes	Department of Defense	Sverdrup Inc.	(5,301)		SUBCONTRACT BATTELLE-2/TASK ORDER 0468
12	RD	Yes	Department of Defense	SY Coleman Corp.	5,165		SYTECH99-D-0021/TASK ORDER 0703
12	RD	Yes	Department of Defense	SY Coleman Corp.	2,073		SYTECH99-D-0021/TASK ORDER 0702
12	RD	Yes	Department of Defense	Systems Planning + Analysis Inc.	5		SUBCONTRACT NO SPA-SC-3754-07-0011/TO 001
12	RD	Yes	Department of Defense	Systems Planning + Analysis Inc.	(4,614)		SUBCONTRACT NO. SPA-SC-3754-07-0011/TO 002
12	RD	Yes	Department of Defense	Systems Planning + Analysis Inc	260,553		SUBCONTRACT NO. SPA-SC-3754-07-0011/TO 003
12	RD	Yes	Department of Defense	Systems Planning + Analysis Inc.	3,174		SUBCONTRACT NO. SPA-SC-3754-07-0011/TO 004
12	RD	Yes	Department of Defense	Systems Research and Application Corp.	628,017		SUBCONTRACT S690000104
12	RD	Yes	Department of Defense	Technical Systems Integration Inc.	(155)		SUBCONTRACT #0199-007/D.O 0003
12	RD	Yes	Department of Defense	Technical Systems Integration Inc.	4,163		SUBCONTRACT #0199-007/D.O. HR05
12	RD	Yes	Department of Defense	Technical Systems Integration Inc.	374,200		0199-034
12	RD	Yes	Department of Defense	TIAX LLC	46,557		No 4
12	RD	Yes	Department of Defense	Titan Systems	(9,075)		SUBCONTRACT NO. 05-OAT-0003
12	RD	Yes	Department of Defense	TRACE Photonics, Inc.	(4,647)		50072
12	RD	Yes	Department of Defense	Trace Photonics, Inc.	(16)		51002
12	RD	Yes	Department of Defense	United Industrial Corp.	6,963		SUBCONTRACT 1002391

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
12	RD	Yes	Department of Defense	United Industrial Corp.	$ 36,167	$ -	AAJ ORDER NUMBER 1038822
12	RD	Yes	Department of Defense	United Technologies Corp.	4,197		SUBCONTRACT AGREEMENT 10-S508-010-41-C1
12	RD	Yes	Department of Defense	United Technologies Corp.	10,046		PO 4400110398
12	RD	Yes	Department of Defense	United Technologies Research Center			PO No. 2600675
12	RD	Yes	Department of Defense	University of California — Davis	(2,074)		W912EF-08-D-0007
12	RD	Yes	Department of Defense	University of Dayton Research Institute	95,627		SUBCONTRACT RSC06023
12	RD	Yes	Department of Defense	University of Guam	29,271		JOB ORDER/ACCOUNT NO. 53 R 200471R53233
12	RD	Yes	Department of Defense	University of Oregon	196,481		235271C-TaskNo 5.3.2, 7, 8.1.3, 280710C - 1.3, 2.3, 49507, K9585AA
12	RD	Yes	Department of Defense	USFALCON Ind	547,369		SUBCONTRACT/TASK ORDER E406-BATTL/0001
12	RD	Yes	Department of Defense	Utah State University	297		FA8750-07-C-0163
12	RD	Yes	Department of Defense	Ventana Research Corp.	1,196		PURCHASE ORDER #06290901
12	RD	Yes	Department of Defense	Vision Point Systems Inc.	51,002		PO #2009-NSWCCD-003
12	RD	Yes	Department of Defense	Visionary Integration Professionals LLC	64,264		CONTR NO TOR# 101
12	RD	Yes	Department of Defense	Vista Engineering Technologies LLC	314		54560
12	RD	Yes	Department of Defense	Washington Group International	5,968		SUBCONTRACT NO. POSC 222693
12	RD	Yes	Department of Defense	Washington Group International	544,610		GS-23F-0011L/SUBCONTRACT NO. POSC 222788/SIN 871-1
12	RD	Yes	Department of Defense	Westinghouse Electric Corp.	18,635,671	1,351	BMI-96-0018-009
12	RD	Yes	Department of Defense	Windber Research Institute	211,826		169479
12	RD	Yes	Department of Defense	Wyle Labs Inc.	6		SUBCONTRACT AGREEMENT A10064-S005, P.O. APSC00663
					118,219,667	4,653,701	
15	RD	Yes	Department of Interior	Aerodyne Research, Inc.	52		ARI-10420-5, ARI-10421-1, 10420-3
15	RD	Yes	Department of Interior	Ducks Unlimited, Inc. — Pacific NW Field office	51,082		WA-0039-001
					51,134	-	
16	RD	Yes	Department of Justice	Science Applications International Corporation — SAIC	1,075		4400156080
17	RD	Yes	Department of Labor	Impaq International LLC	15,035		AF-12564-02-30
17	RD	Yes	Department of Labor	Impaq International LLC	348		SUBCONTRACT TO DOLJ041A00031
17	RD	Yes	Department of Labor	Impaq International LLC	618,557		SUBCONTRACT TO DOLJ061A20349
17	RD	Yes	Department of Labor	Impaq International LLC	110,524		GS-10F-0240U/TO NO. DOL-6000/SIN 874-1 MASTER AGREEMENT NO. S-11
					744,464	-	
20	200	Yes	Department of Transportation	University of Iowa	107,652		PO 1000704101
20	200	Yes	Department of Transportation	Virginia Polytechnic Institute	8,893		SA 425919-19615
20	RD	Yes	Department of Transportation	Alaska Railroad Corp.	204,799	41,244	CONTRACT NO. 31463
20	RD	Yes	Department of Transportation	Commercial Vehicle Safety Alliance	(162)		AGREEMENT NO. OP46818
20	RD	Yes	Department of Transportation	Commercial Vehicle Safety Alliance	85,961		AGREEMENT NO. OP55388/OAB2009
20	RD	Yes	Department of Transportation	Commercial Vehicle Safety Alliance	96,294		AGREEMENT NO. OP55339/PBBT2009
20	RD	Yes	Department of Transportation	Computer Sciences Corp.	2,145,043		AGREEMENT NO. S5000304
20	RD	Yes	Department of Transportation	Harvard University	16,567		SUBAGREEMENT #07-C-RITE-HU-HSPH-112386
20	RD	Yes	Department of Transportation	National Academy of Sciences	29,411		NAS 132, T.O.N. 01, SUBCONTRACT HR 19-08 TO DOT 6508-001
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc.	146		SUBCONTRACT DTRT-06-G-0043-U01-01-01/T. O. 004
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc.	19,086		SUBCONTRACT DTRT-06-G-0043-01-U11-01-01 T.O. 005
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc.	54,625		SUBCONTRACT DTRT-06-G-0043-01-U14-01-01 T.O. 006
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc.	(10)		SUBCONTRACT DTRT-06-G-0043-02--U19-01- 01 TASK 007
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc.	384		SUBCONTRACT DTRT-06-G-0043-U01-01-01/ T.O. 008
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc.	184,093		SUBCONTRACT DTRT-06-G-0043-03-U26-01-01 TASK 009
20	RD	Yes	Department of Transportation	Natl Transportation Research Ctr Inc.	114,127		SUBCONTRACT DTRT-06-G-0043-03--U24-01- 01 TASK 010

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20	RD	Yes	Department of Transportation	Regional Transportation Authority	$ 18,358	$ -	CONTRACT NO. A004344
20	RD	Yes	Department of Transportation	STV Construction Services	266		STV 32-11866
20	RD	Yes	Department of Transportation	transportation Research Board	958		A-31
20	RD	Yes	Department of Transportation	Transportation Research Board	105,391	22,526	HM-04
20	RD	Yes	Department of Transportation	Transportation Research Board	234	400	HM-02
20	RD	Yes	Department of Transportation	Transportation Research Board	188,357	64,962	CONTRACT NO. HM-03
20	RD	Yes	Department of Transportation	Transportation Research Board	128,231	37,553	CONTRACT NCFRP-04
20	RD	Yes	Department of Transportation	Transportation Research Board	99,457	9,221	CONTRACT HR 17-41
20	RD	Yes	Department of Transportation	Transportation Research Board	83,069		HM-09
20	RD	Yes	Department of Transportation	Transportation Research Board	160,084	8,896	NAS 132 CONTRACT HM-05, TO 01
20	RD	Yes	Department of Transportation	Transportation Research Board	102,178	65,581	NAS 132, T.O.N. 01, SUBCONTRACT HR 19-08 TO DOT 6508-001
20	RD	Yes	Department of Transportation	Transportation Research Board	169,233	26,222	NAS 132/T.O. 02/ACRP 03-16
20	RD	Yes	Department of Transportation	Transportation Research Board	167,872	34,683	SHRP R-03
20	RD	Yes	Department of Transportation	Transportation Research Board	194,305	52,791	HR 17-47
20	RD	Yes	Department of Transportation	Transportation Research Board	85,973		SHRP S-07(F)
20	RD	Yes	Department of Transportation	Transportation Research Board	103,226	10,930	NAS 132/T.O. 02/ACRP 03-16, SHRP R-03
20	RD	Yes	Department of Transportation	University of Michigan	9,790		SUBCONTRACTS F015555 AND 3001054069
20	RD	Yes	Department of Transportation	VII Consortium	(818)		CA DTFH61-05-H-00003/PO 07-1078
20	RD	Yes	Department of Transportation	VII Consortium	219,133		PO 09-1104
20	RD	Yes	Department of Transportation	Virginia Polytechnic Institute	9,542		TSA OP57301/VTPO P1045298
20	RD	Yes	Department of Transportation	Virginia Tech Transportation Institute	18,796		SA 451034-19615
20	RD	Yes	Department of Transportation	Wilbur-Smith Assoc	(492)		WSA INVOICE #523112/T.O. 1
					4,930,052	375,009	
21	RD	Yes	Department of the Treasury	UNISYS Corporation	1,412,137	613,600	230141, 51733
43	001	Yes	National Aeronautics and Space Administration	Columbia Univ	16,769		SUBAWARD NO 1(Acct #5-26107)
43	RD	Yes	National Aeronautics and Space Administration	ARRA — Nanoscale Corp.	11,003		PO # 5983
43	RD	Yes	National Aeronautics and Space Administration	American Geophysical Union	31,177		53527
43	RD	Yes	National Aeronautics and Space Administration	Fisheries Research Institute 0151 University of Washington	10,272		577524
43	RD	Yes	National Aeronautics and Space Administration	L-3 Services Inc.	716		PO PN8434
43	RD	Yes	National Aeronautics and Space Administration	National Center of Atmospheric Research	25,250		S10-76500
43	RD	Yes	National Aeronautics and Space Administration	North Olympic Peninsula Resource Conservation and Dev	136,834		50216
43	RD	Yes	National Aeronautics and Space Administration	Team Analysis Inc.	52,641		SUBKTR NO BAT001
43	RD	Yes	National Aeronautics and Space Administration	Universities Space Research Association	72,183		03460-01
43	RD	Yes	National Aeronautics and Space Administration	University of Maryland	144,591		SUBAWARD NO. PO 0000003276/ACCT NO 00002389
43	RD	Yes	National Aeronautics and Space Administration	University of Maryland Baltimore County	7		SUBAWARD CG0616
43	RD	Yes	National Aeronautics and Space Administration	University of Michigan	9,332		F015599 \3000763586
43	RD	Yes	National Aeronautics and Space Administration	University of Utah	(6)		122189
					510,769	-	
47	049	Yes	National Science Foundation	The Ohio State University	80,066		
47	075	Yes	National Science Foundation	University of Missouri	18,897		SUBAWARD NO. C000021985-1
47	075	Yes	National Science Foundation	University of Maryland at College Park	68,772		Z321102
47	082	Yes	National Science Foundation	Duke University, Office of Sponsored Programs	24,665		2007-0199-05
47	RD	Yes	National Science Foundation	University of Illinois at Urbana — Champaign	42,504		A4179
47	RD	Yes	National Science Foundation	University of North Carolina	74,703		20090328-01-BMI
47	RD	Yes	National Science Foundation	Washington University at St. Louis	62,193		WU-HT-09-02
					371,800	-	

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SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2010

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
66	439	Yes	Environmental Protection Agency	Jamestown S'Klallam Tribe	$ (1,826)	$ -	NA
66	RD	Yes	Environmental Protection Agency	Casco Bay Estuary Partnership	22,009		SUBC TO OP56438
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	(11)		DATS SUBCONTRACT NO. 093408/ TO-01-07-01-0002
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	(23)		DATS SUBCONTRACT NO. 093408, TO-02-07-03-0002
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	5,256		SUBCONTRACT NO. 093408/TO-01-07-04-0007
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	7,416		DATS SUBCONTRACT NO. 093408/WA NO. TO-02-07-06-0007
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	(1,308)		DATS SUBCONTRACT NO. 093408/WA NO. TO-02-07-09-0011
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	(3,632)		DATS SUBCONTRACT NO. 093408/WA NO. TO-01-07-07-0014
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	(2,327)		DATS SUBCONTRACT NO. 093408/WA NO. TO-02 TDD-0021
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.			DATS SUBCONTRACT NO. 093408/WA NO. TO-01 TDD-0024
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	5,895		DATS SUBCONTRACT NO. 093408/WA NO. TO-01-08-07-0023
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	7,560		DATS SUBCONTRACT NO. 093408/WA NO. TO-01-09-02-0027
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	22,068		DATS SUBCONTRACT NO. 093408/WA NO. TO-01-09-02-0028
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	16,013		DATS SUBCONTRACT NO. 093408/WA NO. TO-01-09-02-0031
66	RD	Yes	Environmental Protection Agency	Dynamac Corp	5,707		DATS SUBCONTRACT NO. 093408/WA NO. TO-01-09-05-0036
66	RD	Yes	Environmental Protection Agency	Dynamac Corp.	18,336		DATS SUBCONTRACT NO. 093408/WA NO. TO-02-09-07-0029
66	RD	Yes	Environmental Protection Agency	Fish and Wildlife Department — Colville Confederated Tribes	1,773		C10-293
66	RD	Yes	Environmental Protection Agency	Health Effects Institute			HEI RESEARCH AGREEMENT #01-5A-2
66	RD	Yes	Environmental Protection Agency	ICF International	397		PURCHASE ORDER NO. 28OR00914
66	RD	Yes	Environmental Protection Agency	ICF International	6,667		P.O. 10KV00002
66	RD	Yes	Environmental Protection Agency	Kitsap County	72,782		56575
66	RD	Yes	Environmental Protection Agency	Mickey Leland Natl Air Toxics Res Ctr	40,115	20,650	RESEARCH AGREEMENT NO. OP49998
66	RD	Yes	Environmental Protection Agency	NE Interstate Water Poll Control Comm	87,401	16,791	1982974080
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	52		SUBCONTRACT: PEGSUB00003, WA 3-35
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	134		SUBCONTRACT: PEGSUB00003, WA 3-37
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	5		SUBCONTRACT: PEGSUB00003, WA 3-42
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	(59)		SUBCONTRACT: PEGSUB00003, WA 3-48
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	(320)		SUBCONTRACT: PEGSUB00003, WA 3-54
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	16,124		SUBCONTRACT: PEGSUB00003, OPT 4 WA 4-48
66	RD	Yes	Environmental Protection Agency	Pegasus Technical Services Inc	50,659		SUBCONTRACT: PEGSUB00003, MOD 4 WA 4-54
66	RD	Yes	Environmental Protection Agency	Roy F Weston Inc	1,674		START 3 SUPPORT FOR COMMENTS ON BIODIESEL FUEL SOP OUTLINE
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc.			STI 905419/WA NO. 4-19
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc	44		STI 905501.04/WA NO. 5-01
66	RD	Yes	Environmental Protection Agency	Sonoma Technology Inc.	16,305		STI REFERENCE NO. 910114/WA NO. 1-14
66	RD	Yes	Environmental Protection Agency	The Environmental Company	(21)		5835-21743
66	RD	Yes	Environmental Protection Agency	University of Illinois	1,136		sa # 2009-01988-01
66	RD	Yes	Environmental Protection Agency	University of Southern Maine	12,000		CONTRACT TO OP58873
66	RD	Yes	Environmental Protection Agency	Western Washington University	209,398		RD83301401
					617,399	37,441	
81	049	Yes	Department of Energy	Burge Environmental	44,865		53354, 55288, 54526, 57009, 56372
81	135	Yes	Department of Energy	ARRA — Ohio State University	109,761		SUBAWRD # 60026346 RF01209604
81	RD	Yes	Department of Energy	ARRA — American Electric Power Co.	217,811		TECHNOLOGY ALLIANCE AGREEMENT - PWP
81	RD	Yes	Department of Energy	ARRA — American Electric Power Co.	1,675,359		RELEASE 2
81	RD	Yes	Department of Energy	ARRA — American Electric Power Co.	8,158		LIMITED NOTICE TO PROCEED (LNTP) RELEASE 4
81	RD	Yes	Department of Energy	ARRA — American Electric Power Co.	146,003		LIMITED NOTICE TO PROCEED-AGREEMENT RELEASE 5
81	RD	Yes	Department of Energy	ARRA — Stoller-Navarro Joint Venture	(123)		S-N-021004-A
81	RD	Yes	Department of Energy	ARRA — Univenture	36,820		PO # 020157-00
81	RD	Yes	Department of Energy	Advanced Technologies and Laboratories International, Inc.	(188)		47272
81	RD	Yes	Department of Energy	Aegis Technology	196,837	15	52584, 54492
81	RD	Yes	Department of Energy	Aerodyne Research, Inc.	142		ARI-10420-5, ARI-10421-1, 10420-3

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
81	RD	Yes	Department of Energy	Air Products and Chemicals, Inc.	$ 140,094	$ -	DE-FC36-05GO15015
81	RD	Yes	Department of Energy	American Electric Power Co	32,805		PSA #328805X215
81	RD	Yes	Department of Energy	American Electric Power Co	328,391		AGREEMENT FOR CONTR 345081X215
81	RD	Yes	Department of Energy	AREVA NC IN	(2,456)		SUBAWARD GNEP-DS-SA030
81	RD	Yes	Department of Energy	Babcock and Wilcox Company Research Center	(513)		G00566003PNNL
81	RD	Yes	Department of Energy	BCS Inc.	18,053		02-S024
81	RD	Yes	Department of Energy	Bechtel Group Inc.	(38,566)		25307-001-TSC-HX00-00002
81	RD	Yes	Department of Energy	Bechtel National, Inc.	(3,336)		-101-TSA-W000-0004
81	RD	Yes	Department of Energy	Brown University	($44)		1544-21655/P986934
81	RD	Yes	Department of Energy	Burge Environmental	208,046	41	53354, 55288, 54526, 57009, 56372
81	RD	Yes	Department of Energy	Columbia Land Trust	47,505		57393, 59522
81	RD	Yes	Department of Energy	Commercial Vehicle Safety Alliance	28,018		DE-FC01-94RW00295 / 48108
81	RD	Yes	Department of Energy	Confederated Tribes of the Umatilla Indian Reservation	10		50204
81	RD	Yes	Department of Energy	Delphi	1,722,765	15,469	54159
81	RD	Yes	Department of Energy	Delphi Corporation	(8,421)		49359
81	RD	Yes	Department of Energy	Dynatronix, Inc.	13,861		56385
81	RD	Yes	Department of Energy	EIC Laboratories, Inc.	34,925		56398
81	RD	Yes	Department of Energy	Fuel Cell Energy, Inc.	2		PO 35525
81	RD	Yes	Department of Energy	Futuregen Industrial Alliance Inc.	2,708,320	434,635	50050
81	RD	Yes	Department of Energy	Geomatrix Consultants Inc.	(480)		200-PO-1
81	RD	Yes	Department of Energy	Harris Miller Miller and Hanson Inc.	16,804		SUBKTR NO 303910
81	RD	Yes	Department of Energy	Holland Board of Public Works	3,386		OP56362
81	RD	Yes	Department of Energy	IEA Bioenergy	56,643		56852
81	RD	Yes	Department of Energy	Infinia Corporation	5,727		58509
81	RD	Yes	Department of Energy	Jason Assoc Corp.	13,663		SUBKTR NO - 1105S-0401,1102S-0301, 1104S-0401
81	RD	Yes	Department of Energy	Jason Assoc Corp.	8,229		SUBCONTRACT NO. 1102S-0301
81	RD	Yes	Department of Energy	Jason Assoc Corp.	3,840		SUBCONTRACT NO. 1104S-0401
81	RD	Yes	Department of Energy	Jason Assoc Corp.	21,764		SUBKTR NO - 1105S-0401
81	RD	Yes	Department of Energy	Kona Carbon Llc\LC	(1,199)		AGREEMENT NO. OP41429
81	RD	Yes	Department of Energy	Lockheed Martin Hanford Corp.	899		7100009107, 54673
81	RD	Yes	Department of Energy	Lower Columbia River Estuary Partnership	352,920	10,850	03-2010, 102009, 02-2010, 13-2010, 12-2010, 12-2006, 53685
81	RD	Yes	Department of Energy	Massachusetts Institute of Technology (MIT)	44,930		56711
81	RD	Yes	Department of Energy	Mcdermott Intl Inc.	51,797		SUBCONTRACT NO. RCD 1464
81	RD	Yes	Department of Energy	Midwest Res Inst	18,427		TO KAF-9-29764-29/TIER 4 PROFESSIONAL SUPPORT SERVICES
81	RD	Yes	Department of Energy	MKM Engineers, Inc.	(1,171)		P-000233
81	RD	Yes	Department of Energy	Montana State University	555,827	77,613	G141-06-W0831
81	RD	Yes	Department of Energy	Protection Strategies, Inc.	4,329		55696
81	RD	Yes	Department of Energy	Radiation Monitoring Devices, Inc.	28,859		53394, 55759
81	RD	Yes	Department of Energy	SM Stoller Corporation	251,983		STLR-3730-002CP
81	RD	Yes	Department of Energy	SM Stoller Corporation	13,546		SMS-100187
81	RD	Yes	Department of Energy	Stoller Corp.	37,153		PO 0000003836;REQ NO 0000020880;3110/1-707-1-09-905-1-01/7530
81	RD	Yes	Department of Energy	Stoller Corp.	455		PO NO 0000004605
81	RD	Yes	Department of Energy	Stoller-Navarro Joint Venture	207,439		S-N-021004-A
81	RD	Yes	Department of Energy	Systematic Management Services Inc.	904,468		TASK ORDER NO. DE-AT52-07NA28423/SUBCONTRACT NO. DOE/NNSA-BPA:0004
81	RD	Yes	Department of Energy		360		TASK ORDER NO. DE-AT52-07NA28423/SUBCONTRACT NO. DOE/NNSA-BPA:0004
81	RD	Yes	Department of Energy	Theseus Professional Services, LLC	49,825		53651
81	RD	Yes	Department of Energy	Vista Clara, Inc.	(44)		52713
81	RD	Yes	Department of Energy	Wackenhut Services	743		47659
81	RD	Yes	Department of Energy	Washington Group International	613		AGREEMENT NO 2009-SC-YMP-29784-026
81	RD	Yes	Department of Energy	Washington Group International	6,021,089	1,263,842	79065.001-7001SC
81	RD	Yes	Department of Energy	Washington State University	448		G000098

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
81	RD	Yes	Department of Energy	West Virginia University	$ 14,198	$ -	SUBCONTRACT NO. 03-410-B
81	RD	Yes	Department of Energy	Yakima Indian Nation	1		53227
81	RD	Yes	Department of Energy	Z Inc	(931)		06EI-40512.000 AND 07EI-40512.001 AND 07EI-40512.002 AND 07EI-40512.003
					16,350,644	1,802,465	
84	RD	Yes	Department of Education	WESTAT	42,487		WESTAT SUBCONTRACT NO. s8622
93	000	Yes	Department of Health and Human Services	University of Florida	152,564		SUBCONTRACT NO. UF JAX 09 215
93	113	Yes	Department of Health and Human Services	Lamont-Doherty Earth Observatory	251,756		1U01ES016110-01, 5-63966
93	113	Yes	Department of Health and Human Services	Trustees of Columbia University	(2,484)		1PA0ES015905-01
93	113	Yes	Department of Health and Human Services	University of Idaho	76		ABK147-04-B
93	121	Yes	Department of Health and Human Services	University of North Carolina	(30)		UNC-CH 5-50537
93	121	Yes	Department of Health and Human Services	University of North Carolina	(1,566)		UNC-CH 5-51220
93	121	Yes	Department of Health and Human Services	University of North Carolina	177,165		SUBAWARD NO. 5-51220 YEAR 5
93	143	Yes	Department of Health and Human Services	Bowling Green State University	281,627		1R01ES017070
93	143	Yes	Department of Health and Human Services	Oregon State University	427,249		P0282A-A
93	242	Yes	Department of Health and Human Services	Johns Hopkins University			P.O. #2000580380
93	262	Yes	Department of Health and Human Services	Case Western Reserve University			RES502733
93	262	Yes	Department of Health and Human Services	State University of New York	41,603		SUBAWARD NO. 240-1074439-48038
93	266	Yes	Department of Health and Human Services	Johns Hopkins University	(446)		SUBAWARD NO. 8412-80095-X
93	279	Yes	Department of Health and Human Services	University of Medicine and Dentistry of New Jersey	29,433		PO341348
93	283	Yes	Department of Health and Human Services	University of North Carolina	41,256		UNC-CH 5-53795
93	310	Yes	Department of Health and Human Services	Fred Hutchinson Cancer Research Center	45,066		Sub award 0000680294
93	389	Yes	Department of Health and Human Services	University of California, San Diego	6,315		59989
93	389	Yes	Department of Health and Human Services	University of Washington	259,055		565961, 473183, 399778, 2P30DA015625-06, 577577, 562850, 576666, 657977
93	393	Yes	Department of Health and Human Services	Duke University	(1,582)		SUBAWARD NO. 132408, 303-2189, 146143/ 139294
93	393	Yes	Department of Health and Human Services	Harvard School of Public Health	55,865		1 P01 CA134294-01
93	393	Yes	Department of Health and Human Services	University of Maryland	328,804		SUBAWARD #Z013801
93	393	Yes	Department of Health and Human Services	Washington University	142,831		SUBAWARD NO. WU-HT-09-36/PO #2905835N
93	701	Yes	Department of Health and Human Services	Oregon Health and Science University	1,167		1U54A1081680, AVGT10102, GVGT10084A, GMMBIO157A, ABMEN0102-PNNL. 57700, 44690
93	701	Yes	Department of Health and Human Services	University of Illinois	89,573		2009-05575-01 A2522
93	837	Yes	Department of Health and Human Services	Syracuse University	1,375		SUBAWARD NO. 22073-01655-S01
93	837	Yes	Department of Health and Human Services	University of Maine	139,163		UM-S754
93	839	Yes	Department of Health and Human Services	University of Rochester School of Medicine and Dentistry	164		53054
93	848	Yes	Department of Health and Human Services	Duke University			SUBAWARD 133817, 303-1505, 140300. 127227
93	853	Yes	Department of Health and Human Services	University of North Carolina	308		AGREEMENT UNC-CH 5-50229
93	853	Yes	Department of Health and Human Services	University of California, Los Angeles	189,098		1490 G GE528
93	855	Yes	Department of Health and Human Services	Oregon Health and Science University	126,870		1U54A1081680, AVGT10102, GVGT10084A, GMMBIO157A, ABMEN0102-PNNL. 57700, 44690
93	856	Yes	Department of Health and Human Services	University Of Michigan	147,944		PURCHASE ORDER NO. 3001230718
93	859	Yes	Department of Health and Human Services	Virginia Polytechnic Institute and State University	6,737		3R01GM076121-04S1
93	859	Yes	Department of Health and Human Services	Massachusetts General Hospital	833,742	141,656	44301
93	859	Yes	Department of Health and Human Services	Miami University	85,109		G01235
93	859	Yes	Department of Health and Human Services	Michigan State University	9,830		1R01GM090208-01
93	859	Yes	Department of Health and Human Services	Oregon Health and Science University	6,995		1U54A1081680, AVGT10102, GVGT10084A, GMMBIO157A, ABMEN0102-PNNL. 57700, 44690
93	864	Yes	Department of Health and Human Services	Princeton University	224,709	103,471	SUBAWARD NO. 00001544
93	865	Yes	Department of Health and Human Services	Princeton University	(60,089)	(25,102)	SUBAWARD NO. 00001543
93	865	Yes	Department of Health and Human Services	University of South Florida	4,653		6403-1053-00-A (ORANGE COUNTY)/PO 0000149144
93	865	Yes	Department of Health and Human Services	Vanderbilt Univ Dept of Chem Engineering	10,153		VUMC33448-R
93	865	Yes	Department of Health and Human Services	Vanderbilt Univ Dept of Chem Engineering	55,569		VUMC33795-R
93	865	Yes	Department of Health and Human Services	Seattle Biomedical Research Institute	100,309		53677
93	866	Yes	Department of Health and Human Services	Johns Hopkins University	28,857		SUBAWARD NO. 8408-51079-X
93	866	Yes	Department of Health and Human Services	University of Miami	181,264		6-6628K-3712

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Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	867	Yes	Department of Health and Human Services	University of Southern California	$ -	$ -	SUBAWARD NO. H39301
93	867	Yes	Department of Health and Human Services	University of Southern California	381,046		SUBAWARD NO. H41044
93	867	Yes	Department of Health and Human Services	University of Southern California	448,467		SUBAWARD NO. H44627
93	867	Yes	Department of Health and Human Services	University of Southern California	829,992		SUBAWARD NO. H44895
93	867	Yes	Department of Health and Human Services	University of Southern California	1,013,490		SUBAWARD NO. H44552
93	867	Yes	Department of Health and Human Services	University of Southern California	226,247		SUBAWARD NO. H43398
93	989	Yes	Department of Health and Human Services	University of California — Berkeley	(108)		SUBAWARD NO. SA5162-32119
93	RD	Yes	Department of Health and Human Services	ARRA — Impaq International LLC	2,222		MASTER AGREEMENT NO. S-10 TASK ORDER NO. AHRQ-5550
93	RD	Yes	Department of Health and Human Services	Academyhealth			CONTRACT #290-04-0001
93	RD	Yes	Department of Health and Human Services	Alexion Pharmaceuticals Inc.	54,634		CONTRACT NO. G005265
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	(281)		BOA-03-2005-001/TASK 1
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	(281)		BOA-03-2005-001/TASK 3
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	(3,074)		BOA-03-2005-001/TASK 5
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	148		BOA-03-2005-001/TASK 6
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	147,008		BOA-03-2005-001/TASK 7
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	384		BOA-03-2005-001/TASK 8
93	RD	Yes	Department of Health and Human Services	American Type Culture Collection	(371)		BOA-03-2005-001/TASK 10
93	RD	Yes	Department of Health and Human Services	Arkansas Childrens Hospital	24,010		SUBC TO HHSN275200800026C
93	RD	Yes	Department of Health and Human Services	Arkansas Dept of Health + Human Services	186,782		VENDOR #100080230
93	RD	Yes	Department of Health and Human Services	Baylor College of Medicine	1,503		P.O. #5600388772 TO GRANT NO. N01-HD-80020
93	RD	Yes	Department of Health and Human Services	Booz-Allen + Hamilton Inc	215,923		#96942NBS23/PRJ #B2947023000190000007
93	RD	Yes	Department of Health and Human Services	Brandeis University	649		ACCOUNT NO. 4-00907
93	RD	Yes	Department of Health and Human Services	Caritas St Elizabeths Med Ctr of Boston	200,857		GRANT NO. 7R01HL086652-03
93	RD	Yes	Department of Health and Human Services	Case Western Reserve University	3,202		BATTELLE NCS N01-HD-80009 - LORAIN OH
93	RD	Yes	Department of Health and Human Services	Case Western Reserve University	339,686		BATTELLE NCS N01-HD-80009 - CUYAHOGA OH
93	RD	Yes	Department of Health and Human Services	CNA Corp.	364,818	23,240	09-BMI-5-5701
93	RD	Yes	Department of Health and Human Services	Dade Moeller and Associates, Inc.	2,188		S4-1142
93	RD	Yes	Department of Health and Human Services	Duke University	286,382		1R01-AA-017913-01
93	RD	Yes	Department of Health and Human Services	DVC LLC			SUBCONTRACT NO. S1008288
93	RD	Yes	Department of Health and Human Services	Dyncorp	1,172,948		S1008551
93	RD	Yes	Department of Health and Human Services	Dyncorp	1,169,520		SUBCONTRACT AGREEMENT NO. S1008570
93	RD	Yes	Department of Health and Human Services	Dyncorp	378,351		SUBCONTRACT NO. S1008585
93	RD	Yes	Department of Health and Human Services	Elusys Therapeutics Inc.	363,424		STUDY NO. OP44005R3 TO LSA OP45836
93	RD	Yes	Department of Health and Human Services	Elusys Therapeutics Inc.	859,477		STUDY NO. OP55500R TO LSA OP45836
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	162		ORDER NO. 0001
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	133,438		ORDER NO. 0005
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	321,548		ORDER NO. 0004
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	387,260		ORDER NO. 0003
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	2,561,063		ORDER NO. 0006
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	2,848,768		ORDER NO. 0007
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	3,302		ORDER NO. 8
93	RD	Yes	Department of Health and Human Services	Emergent Product Devel Gaithersburg Inc.	30,096		ORDER NO. 9
93	RD	Yes	Department of Health and Human Services	Emory University	25,717		SUBCONTRACT NO. 5-41690-S1 DEKALB CNTY
93	RD	Yes	Department of Health and Human Services	Emory University	619		5-42540-S1
93	RD	Yes	Department of Health and Human Services	Emory University	189,307		5-42540-S1
93	RD	Yes	Department of Health and Human Services	Emory University	5,898		SUBCONTRACT NO. 5-41690-S1 FAYETTE CNTY
93	RD	Yes	Department of Health and Human Services	Fisher Bioservices	49,591		FBS-05413-04
93	RD	Yes	Department of Health and Human Services	Georgetown University			DOSE RANGE FINDING STUDIES
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc.	315		STUDY NO. OP45445/P.O. 3030313
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc.	(2,732)		STUDY NO. OP46019R TO LAB SVCS AGREEMENT NO. CP053709
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc.	(540)		STUDY NO. OP48064 TO LAB SVCS AGREEMENT NO. CP053709
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc.	83,332		STUDY NO. OP51808R2 TO LAB SVCS AGREEMENT NO. CP053709
93	RD	Yes	Department of Health and Human Services	Human Genome Sciences Inc.	510,864		STUDY NO. OP59034 TO LAB SVCS AGREEMENT NO. CP053709
93	RD	Yes	Department of Health and Human Services	IMPAQ International, LLC	50,249		MASTER AGREEMENT NO. S-10/T.O. NO. AHRQ-3450
93	RD	Yes	Department of Health and Human Services	JBS International	52,481		NAICS NUMBER 541690

(Continued)

BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2010

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	RD	Yes	Department of Health and Human Services	Johns Hopkins University	$ 1,432	$ -	P.O. #8606-88167
93	RD	Yes	Department of Health and Human Services	Johns Hopkins University	67,300		P.O. #2000289069 - BALTIMORE COUNTY
93	RD	Yes	Department of Health and Human Services	Johns Hopkins University	128,856		PO #2000735585 - MONTGOMERY MD
93	RD	Yes	Department of Health and Human Services	Johns Hopkins University	148,729	24,675	GRANT NO. P60MD000214
93	RD	Yes	Department of Health and Human Services	Joint Commission on Accreditation of Healthcare Org.	4		1P20HS017113-01
93	RD	Yes	Department of Health and Human Services	Levitronix, LLC	(126)		L08-0111
93	RD	Yes	Department of Health and Human Services	Ligocyte Pharmaceuticals Inc.	9,593		AGREEMENT NO. OP42367
93	RD	Yes	Department of Health and Human Services	Ligocyte Pharmaceuticals Inc.	23,497		STUDY NO. OP50140R2 TO LSA NO. OP50964
93	RD	Yes	Department of Health and Human Services	Macrogenics	1,524,474	3,510	ADB CONTRACT NO. N01-AI-60013
93	RD	Yes	Department of Health and Human Services	Manila Consulting Group	1,037,103		280-05-0122
93	RD	Yes	Department of Health and Human Services	Medarex Inc.	12,314		OP37044
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	2,283		HHSN267200700047C/0258-3431-4609 (MANHATTAN OPTION)
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	25,968		HHSN275200800031C/0258-3691-4609 (MONROE OPTION)
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	14,555		HHSN267200700047C/0258-3441-4609 (NASSAU OPTION)
93	RD	Yes	Department of Health and Human Services	Mount Sinai School of Medicine	3,573		RISK MEMO – DO NOT BILL PASSAIC COUNTY
93	RD	Yes	Department of Health and Human Services	National Ctr for Farmworker Health	4,590		RISK MEMO – DO NOT BILL
93	RD	Yes	Department of Health and Human Services	Natl Assn County + City Health Officials	(3,087)		NACCHO CONTRACT #2006-081001
93	RD	Yes	Department of Health and Human Services	Oak Ridge Associated Universities	(1,384)		20739
93	RD	Yes	Department of Health and Human Services	Oregon Health and Science University	778,295		1U54A1081680, AVGTI0102, GVGT10084A, GMMBIO157A, ABMEN0102-PNNL. 57700, 44690
93	RD	Yes	Department of Health and Human Services	Oregon State University	511,269	8,390	P0282A-A
93	RD	Yes	Department of Health and Human Services	Pharmathene US Corporation	199,306		STUDY NO. OP40763R3
93	RD	Yes	Department of Health and Human Services	Philips Medical Systems	256		TOA NO. OP40671
93	RD	Yes	Department of Health and Human Services	Scimetrika LLC			SUPPORT CDC LIFESTYLE PROJECT
93	RD	Yes	Department of Health and Human Services	Scimetrika LLC	128,017		200-2008-23703/TASK ORDER 0001
93	RD	Yes	Department of Health and Human Services	Seattle Biomolecular Research Institute	237,162		HHSN266200700057C
93	RD	Yes	Department of Health and Human Services	Social + Scientific Systems Inc.	13,974		SES-SUPS2-S-08-00105
93	RD	Yes	Department of Health and Human Services	Spina Bifida Association	18,961		SERVICE AGREEMENT TO OP48205
93	RD	Yes	Department of Health and Human Services	St Jude Children's Research Hospital	29,808		58757
93	RD	Yes	Department of Health and Human Services	St Louis University	9,985		HHSN275200800003C - JOHNSON/UNION/ WILLIAMSON, IL
93	RD	Yes	Department of Health and Human Services	St Louis University	11,126		HHSN275200800023C - JEFFERSON MO
93	RD	Yes	Department of Health and Human Services	St Louis University	228,790		SUBC OP43358 - ST LOUIS (CITY) YR 2
93	RD	Yes	Department of Health and Human Services	St Louis University	18,501		SUBC OP48780 - MACOUPIN COUNTY YR 2
93	RD	Yes	Department of Health and Human Services	Tanglewood Research Inc.	47,663		1R43DA026219-01A1
93	RD	Yes	Department of Health and Human Services	Thompson Medstat	14,744		HCUP5-2007-BATTELLE
93	RD	Yes	Department of Health and Human Services	Tulane University	201		NATIONAL CHILDRENS STUDY STUDY CENTER
93	RD	Yes	Department of Health and Human Services	University of Alabama at Birmingham	149,879		5 P01 ES011617-03
93	RD	Yes	Department of Health and Human Services	University of Arizona	13,049		P.O. #Y502672 - MARICOPA COUNTY AZ
93	RD	Yes	Department of Health and Human Services	University of Arizona	281,415		P.O. #Y502672 - PINAL COUNTY AZ
93	RD	Yes	Department of Health and Human Services	University of California — Davis	1,527		NATIONAL CHILDRENS STUDY (NCS) CENTER - YEAR 2 SACRAMENTO COUNTY
93	RD	Yes	Department of Health and Human Services	University of California — Irvine	1,912		SUBCONTRACT NO. 2010-2470
93	RD	Yes	Department of Health and Human Services	University of California — Irvine	607		SUBCONTRACT NO. 2010-2469
93	RD	Yes	Department of Health and Human Services	University of Colorado	163,930		#FY09.253.002/UCD PRJ #2-5-15313
93	RD	Yes	Department of Health and Human Services	University of Medicine and Dentistry of New Jersey	113,771		59524
93	RD	Yes	Department of Health and Human Services	University of North Carolina	2,808,968		UNC-CH 5-55842
93	RD	Yes	Department of Health and Human Services	University of North Carolina	27,737		UNC-CH 5-35046 STUDY CENTER
93	RD	Yes	Department of Health and Human Services	University of North Carolina	2,285		UNC-CH 5-35053 ROCKINGHAM COUNTY
93	RD	Yes	Department of Health and Human Services	University of North Carolina	330		UNC-CH 5-35017 BURKE COUNTY
93	RD	Yes	Department of Health and Human Services	University of North Carolina	305,537	53,637	UNC-CH 5-35056 DURHAM COUNTY
93	RD	Yes	Department of Health and Human Services	University of North Carolina	59,528		UNC-CH NO. 5-51456
93	RD	Yes	Department of Health and Human Services	University of North Carolina	35		UNC-CH 5-35073
93	RD	Yes	Department of Health and Human Services	University of North Carolina	259,210		UNC-CH #5-53795
93	RD	Yes	Department of Health and Human Services	University of North Carolina	29,391		SUBAWARD NO. 5-51220 YEAR 6
93	RD	Yes	Department of Health and Human Services	University of South Florida	3,665		6403-1053-00-A (HILLSBOROUGH COUNTY)
93	RD	Yes	Department of Health and Human Services	University of Texas	249,102		ENCUMBRANCE NO. GMO-901014
93	RD	Yes	Department of Health and Human Services	University of Washington	2,808		UW Subcontract #: 446175

(Continued)

BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2010

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
93	RD	Yes	Department of Health and Human Services	University of Washington	$ (990)	$ -	UW SUBCONTRACT #: 599150
93	RD	Yes	Department of Health and Human Services	University of Washington	(958)		UW SUBCONTRACT #. 756995
93	RD	Yes	Department of Health and Human Services	University of Washington	4,266		UW CONTRACT NO. DL 10-002
93	RD	Yes	Department of Health and Human Services	University of Washington	2,273,934		565961, 473183, 399778, 2P30DA015625-06, 577577, 562850, 576666, 657977
93	RD	Yes	Department of Health and Human Services	Valvexchange, Inc.	(248)		53403
93	RD	Yes	Department of Health and Human Services	VAXIN Inc.	(51,207)		TSA #OP40577
93	RD	Yes	Department of Health and Human Services	VAXIN Inc.	145,540		SUBCONTRACT NO. OP51688
93	RD	Yes	Department of Health and Human Services	Washington State University	162,861		100725_G002318
93	RD	Yes	Department of Health and Human Services	Washington Univ	140		P.O. #24283A
93	RD	Yes	Department of Health and Human Services	WESTAT	60,384		WESTAT CONTRACT NO. 8494-S02 (MOD 4)
93	RD	Yes	Department of Health and Human Services	WESTAT	93,882		WESTAT CONTRACT NO. 8494-S02
					32,748,260	333,477	
97	RD	Yes	Department of Homeland Security	ATK Mission Research	1,941		SC-00032-07-0001
97	RD	Yes	Department of Homeland Security	Centra Technology Inc	4,523		SUBCONTRACT 2640-10-001
97	RD	Yes	Department of Homeland Security	Chenega Technical Services Corporation	3,070,965		Battelle-003-002, 54294, 54298, 54126, 56328, 56329, 59221, 59222, 59224
97	RD	Yes	Department of Homeland Security	General Electric Global Research	72,271		700170791
97	RD	Yes	Department of Homeland Security	Isotron Corp.	5,250		AGREEMENT NO. OP34804
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	427		8G5-NEP-BATTELLE-08/TASK ORDER 005
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	(463)		8GNEP-BATTELLE-08/R04-OBE-01/7500030369
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	27,555		8GNEP-BATTELLE-08/TASK ORDER 007
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	(41)		8G5-NEP-BATTELLE-08/R04-TEPW-02/ 7500042729
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	48,531		8GNEP-BATTELLE-08/TASK ORDER 002
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	515		8G5-NEP-BATTELLE-08/R05-OBE-06/ 7500044669
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	(30)		8G5-NEP-BATTELLE-08/PO #7500046261/WORK ORDER R04-OBE-02
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	702		8G5-NEP-BATTELLE-08/PO #7500046254/WORK ORDER R04-OBE-03
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	(2,232)		8G5-NEP-BATTELLE-08/PO #7500046253/WORK ORDER R04-OBE-04
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	(593)		8G5-NEP-BATTELLE-08/PO #7500046252/WORK ORDER R04-OBE-05
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	625		8G5-NEP-BATTELLE-08/R04-DBE-06/ 7500046251
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	34,064		8G5-NEP-BATTELLE-08/PO #7500052217/WORK PLAN 4
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	185,339	918	8G5-NEP-BATTELLE-08/PO #7500052217/WORK PLAN 5
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	62,522		8G5-NEP-BATTELLE-08/PO #7500055809
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	11,898		8G5-NEP-BATTELLE-08/PO #7500070167
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	1,814		NEPIDIQTO2010-001, TASK ORDER 001
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	38,006		NEPIDIQTO2010-001, TASK ORDER 002
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	14,272		NEPIDIQTO2010-001, TASK ORDER 003
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	21,499		NEPIDIQTO2010-001, TASK ORDER 004
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	18,830		NEPIDIQTO2010-001, TASK ORDER 005
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	21,835		NEPIDIQTO2010-001, TASK ORDER 006
97	RD	Yes	Department of Homeland Security	Northrop Grumman Corp.	5,182		NEPIDIQTO2010-001, TASK ORDER 007
97	RD	Yes	Department of Homeland Security	Northrop Grumman Mission Systs	(39)		8GNEP-BATTELLE-08/TASK ORDER 006
97	RD	Yes	Department of Homeland Security	NORTHROP GRUMMAN MISSION SYSTS	54,009		8GNEP-BATTELLE-08/PO #7500047006/WORK PLAN 3

(Continued)

BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS
FOR THE YEAR ENDED SEPTEMBER 30, 2010

Agency Code	CFDA	R&D	Federal Agency	Major Sub Agency/Program Title	Total Expenditures	Subcontracted Dollars	Contract Ref.
97	RD	Yes	Department of Homeland Security	RCM Solutions	$ 61,844	$ -	SUBC NO. 09-010-DHS-D-00056/TO1 T+M
97	RD	Yes	Department of Homeland Security	RCM Solutions	16,696		SUBC NO. 09-010-DHS-D-00056/TO1 CR
97	RD	Yes	Department of Homeland Security	Synergy Enterprises Inc.	629,362	21,881	SEI/BATTELLE 2015-001
97	RD	Yes	Department of Homeland Security	Systems Planning + Analysis Inc.	(10,537)		GS-23F-8167H/LA-SPA-SC-1774-08-0005
97	RD	Yes	Department of Homeland Security	Systems Planning + Analysis Inc.	1,199,796		GS-23F-8167H/SPA-SC-1774-08-0005 (COMPANION TO TG006087)
97	RD	Yes	Department of Homeland Security	URS Corp.	1,081		SUBCONTRACT NO. NCA-10-011
					5,602,401	22,799	
98	RD	Yes	Agency for International Development	CAMP DRESSER + MCKEE INC	160,544		EH-IQC-BMI-09-TOA-02-2
			Total Indirect Funding		181,975,394	7,858,795	
			Total Expenditures of Federal Awards		$ 818,695,775	$ 191,016,629	

(Concluded)

BATTELLE MEMORIAL INSTITUTE

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AND NON-FEDERAL AWARDS FOR THE YEAR ENDED SEPTEMBER 30, 2010

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation — The accompanying schedule of expenditures of federal and non-federal awards (the "Schedule") summarizes the expenditures of Battelle Memorial Institute and subsidiaries (the "Company") under programs funded by federal and state governments for the year ended September 30, 2010. The Schedule has been prepared on the accrual basis in accordance with accounting principles generally accepted in the United States of America and includes all grants and contracts entered into directly between the Company and agencies and departments of the federal and state governments, as well as funds passed through to the Company by other recipients. In accordance with OMB Circular A-133, the Schedule does not include amounts received to operate government-owned, contractor-operated facilities.

Research and Development Cluster — OMB Circular A-133 defines research and development as follows: research is a systematic study directed toward fuller scientific knowledge or understanding of the subject studied; development is the systematic use of knowledge and understanding gained from research directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes.

Subrecipient — Certain funds are passed-through to subgrantee organizations by the Company. Expenditures incurred by the subgrantees and reimbursed by the Company are presented in the Schedule.

The Company is also the subrecipient of funds, which have been reported as expenditures and listed as pass-through funds.

2. NONCASH AWARDS

During the year ended September 30, 2010, the Company did not receive nonmonetary assistance.

3. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES FOR PROGRAM EXPENDITURES

Expenditures consist of direct and indirect costs. Direct costs are those that can be easily identified with an individual sponsored project. The salary cost of a Lead Scientist or Technical Specialist and the materials consumed by the project are examples of direct costs.

The Company uses indirect costs rates to charge indirect costs to individual sponsored projects. Indirect costs are the costs of services and resources that benefit both sponsored and nonsponsored projects. The rates are the result of a number of complex cost allocation procedures that the Company uses to allocate its indirect costs to both sponsored and nonsponsored activities. The indirect costs allocated to sponsored projects are divided by the direct costs of sponsored projects to arrive at a rate. Indirect rates are reviewed by the Defense Contract Audit Agency and approved by the cognizant Administrative Contracting Officer.

4. CLASSIFIED INFORMATION

Due to the nature of research and development that the Company performs on behalf of the federal government, various contracts, and project information is considered classified, which includes "Top Secret," "Secret," or "Confidential." As a result, the Schedule reflects summarized data by agency in order to properly safeguard various information as required by the federal government.

5. RELATIONSHIP TO CONSOLIDATED FINANCIAL STATEMENTS

Awards in the amount of $818,695,775 are reported as a portion of total project revenue in the Company's consolidated financial statements as revenue from operations.

* * * * * *

Deloitte.

Deloitte & Touche LLP
Suite 1400
180 East Broad Street
Columbus, OH 43215-3611
USA

Tel: +1 614 221 1000
Fax: +1 614 229 4647
www.deloitte.com

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors of
Battelle Memorial Institute and Subsidiaries
Columbus, Ohio

We have audited the consolidated financial statements of Battelle Memorial Institute and subsidiaries (the "Company") as of and for the year ended September 30, 2010, and have issued our report thereon dated December 17, 2010, which includes an emphasis of a matter paragraph relating to the adoption of Accounting Standards Codification 715-20-65 to change the measurement date of the pension and postretirement plans to September 30, effective October 1, 2009. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States of America.

Internal Control over Financial Reporting

In planning and performing our audit, we considered the Company's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Company's internal control over financial reporting.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Company's consolidated financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material

effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the Company in a separate letter dated December 17, 2010.

This report is intended solely for the information and use of the Company's management, the Board of Directors, others within the Company, federal awarding agencies, state funding agencies, and pass-through entities, and is not intended to be, and should not be, used by anyone other than these specified parties.

Deloitte + Touche LLP

December 17, 2010

Deloitte.

Deloitte & Touche LLP
Suite 1400
180 East Broad Street
Columbus, OH 43215-3611
USA

Tel: +1 614 221 1000
Fax: +1 614 229 4647
www.deloitte.com

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

To the Board of Directors of
Battelle Memorial Institute and Subsidiaries
Columbus, Ohio

Compliance

We have audited Battelle Memorial Institute and subsidiaries (the "Company") compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on the Company's major federal program for the year ended September 30, 2010. The Company's major federal program is identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to its major federal program is the responsibility of the Company's management. Our responsibility is to express an opinion on the Company's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major program occurred. An audit includes examining, on a test basis, evidence about the Company's compliance with those requirements and performing such other procedures, as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the Company's compliance with those requirements.

In our opinion, the Company complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on its major federal program for the year ended September 30, 2010. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as item 10-01.

Internal Control over Compliance

Management of the Company is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the Company's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance in

accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Company's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected on a timely basis.

Our consideration on internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, we identified certain deficiencies in internal control over compliance that we consider to be significant deficiencies as described in the accompanying schedule of findings and questioned costs as items 10-01. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

The Company's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the Company's responses and, accordingly, we express no opinion on the responses.

Schedule of Expenditures of Federal and Non-Federal Awards

We have audited the consolidated financial statements of the Company as of and for the year ended September 30, 2010, and have issued our report thereon dated December 17, 2010, which includes an emphasis of a matter paragraph relating to the adoption of Accounting Standards Codification 715-20-65 to change the measurement date of the pension and postretirement plans to September 30, effective October 1, 2009. Our audit was performed for the purpose of forming an opinion on the consolidated financial statements taken as a whole. The accompanying schedule of expenditures of federal and nonfederal awards is presented for the purpose of additional analysis as required by OMB Circular A-133 and is not a required part of the consolidated financial statements. This schedule is the responsibility of the management of the Company. Such information has been subjected to the auditing procedures applied in our audit of the consolidated financial statements and, in our opinion, is fairly stated, in all material respects, when considered in relation to the consolidated financial statements taken as a whole.

This report is intended solely for the information and use of the Board of Directors and management of the Company, federal awarding agencies, state funding agencies, and pass-through entities and is not intended to be, and should not be, used by anyone other than these specified parties.

Deloitte & Touche LLP

December 17, 2010

BATTELLE MEMORIAL INSTITUTE

SCHEDULE OF FINDINGS AND QUESTIONED COSTS FOR THE YEAR ENDED SEPTEMBER 30, 2010

PART I — SUMMARY OF AUDITORS' RESULTS

FINANCIAL STATEMENTS: Type of auditors' report issued:			Unqualified	
Internal control over financial reporting:				
1. Material weakness(es) identified?	____	Yes	X	No
2. Significant deficiencies identified?	____	Yes	X	None Reported
3. Noncompliance material to the financial statements noted?	____	Yes	X	No
FEDERAL AWARDS: 4. Material weakness(es) identified?	____	Yes	X	No
5. Significant deficiencies identified?	X	Yes	____	None Reported
Type of auditors' report issued on compliance for major programs:			Unqualified	
6. Any audit findings disclosed that are required to be reported in accordance with OMB Circular A-133, Section 510(a)	X	Yes	____	No
7. The Company's major program was			Research and Development Cluster	
8. Dollar threshold used to distinguish between Type A and Type B programs?			$ 3,000,000	
9. Auditee qualify as low risk auditee	X	Yes	____	No

PART II — FINANCIAL STATEMENT FINDINGS SECTION

No matters were reportable.

PART III — FINDINGS AND QUESTIONED COSTS RELATING TO FEDERAL AND NONFEDERAL AWARDS:

10-01 Subrecipient Monitoring

Grantor and CFDA Number —

Agency Code	CFDA	Federal Agency	Major Sub Agency/Program Title
11	RD	Department of Commerce	National Oceanic and Atmospheric Administration
12	RD	Department of Defense	ARRA-Army
12	RD	Department of Defense	Advanced Research Projects Agency
12	RD	Department of Defense	Air Force
12	RD	Department of Defense	Army
12	RD	Department of Defense	Classified
12	RD	Department of Defense	Joint Program Manager Information Systems
12	RD	Department of Defense	Joint Program Office
12	RD	Department of Defense	Joint Project Management Office
12	RD	Department of Defense	Joint Requirements Office
12	RD	Department of Defense	Missile Defense Agency
12	RD	Department of Defense	National Guard Bureau
12	RD	Department of Defense	Navy
12	RD	Department of Defense	Office of Deputy Assist. Secy. Of Defense
12	RD	Department of Defense	Pentagon Force Protection Agency
12	RD	Department of Defense	Special Operations Command
12	RD	Department of Defense	Technical Information Center
12	RD	Department of Defense	US Northern Command
12	RD	Department of Defense	US STRATCOM
12	RD	Department of Defense	Washington Headquarters Services
15	RD	Department of Interior	Minerals Management Service
16	RD	Department of Justice	Federal Bureau of Investigation
20	RD	Department of Transportation	ARRA-Federal Highway Administration
20	RD	Department of Transportation	Federal Highway Administration
20	RD	Department of Transportation	National Cooperative Highway Research Program
20	RD	Department of Transportation	National Highway Traffic and Safety Administration
20	RD	Department of Transportation	Pipeline and Hazardous Material Safety Administration
42	RD	Library of Congress	Open World Library of Congress
43	RD	National Aeronautics and Space Administration	Glenn Research Center
43	RD	National Aeronautics and Space Administration	Jet Propulsion Lab
43	RD	National Aeronautics and Space Administration	Multiscale Modeling of Aerosol Impact on Clouds and Precipit
43	RD	National Aeronautics and Space Administration	Office of Earth Science
66	511	Environmental Protection Agency	Office of Research and Development Consolidated Research/Training/Fellowships
66	931	Environmental Protection Agency	International Financial Assistance Projects
66	RD	Environmental Protection Agency	Gulf of Mexico Program Office
66	RD	Environmental Protection Agency	National Center for Environmental Assessment
66	RD	Environmental Protection Agency	National Exposure Research Lab
66	RD	Environmental Protection Agency	National Homeland Security Research Center
66	RD	Environmental Protection Agency	National Risk Management Research Lab
66	RD	Environmental Protection Agency	Office of Pollution Prevention
66	RD	Environmental Protection Agency	Office of R&D
66	RD	Environmental Protection Agency	Office of Science and Technology
66	RD	Environmental Protection Agency	Office of Science Coordination and Policy
66	RD	Environmental Protection Agency	Office of Water
81	086	Department of Energy	Conservation Research and Development
81	089	Department of Energy	Fossil Energy Research and Development
81	122	Department of Energy	Electricity Delivery and Energy Reliability, Research, Development and Analysis
81	RD	Department of Energy	Idaho Operations Office
81	RD	Department of Energy	National Energy Technology Laboratory (NETL)
93	242	Department of Health and Human Services	Mental Health Research Grants
93	262	Department of Health and Human Services	Occupational Safety and Health Program
93	279	Department of Health and Human Services	Drug Abuse and Addiction Research Programs
93	361	Department of Health and Human Services	Nursing Research

Agency Code	CFDA	Federal Agency	Major Sub Agency/Program Title
93	393	Department of Health and Human Services	Cancer Cause and Prevention Research
93	855	Department of Health and Human Services	Allergy, Immunology and Transplantation Research
93	864	Department of Health and Human Services	Population Research
93	RD	Department of Health and Human Services	Agency for Health Care Res. & Quality
93	RD	Department of Health and Human Services	Centers for Disease Control
93	RD	Department of Health and Human Services	Food & Drug Administration
93	RD	Department of Health and Human Services	National Institute Allergy & Infectious Disease
93	RD	Department of Health and Human Services	National Institute Environmental Health Science
93	RD	Department of Health and Human Services	National Institute Occupational Safety/Health
93	RD	Department of Health and Human Services	National Institutes of Health
93	RD	Department of Health and Human Services	Program Support Center
97	RD	Department of Homeland Security	ARRA-Transportation Security Administration
97	RD	Department of Homeland Security	Advanced Research Projects Agency
97	RD	Department of Homeland Security	Chemical Security Analysis Center
97	RD	Department of Homeland Security	Coast Guard
97	RD	Department of Homeland Security	National Protection and Programs Directorate
97	RD	Department of Homeland Security	Office of Health Affairs
97	RD	Department of Homeland Security	Transportation Security Administration
97	RD	Department of Homeland Security	Transportation Security Laboratory
10	RD	Department of Agriculture	JDC Phosphate
11	RD	Department of Commerce	Cooperative Institute for Coastal & Estuarine Env. Tech.
11	RD	Department of Commerce	UNIVERSITY OF MASSACHUSETTS
12	800	Department of Defense	UNIVERSITY OF DAYTON RESEARCH INSTITUTE
12	RD	Department of Defense	BECHTEL GROUP INC
12	RD	Department of Defense	BK Systems
12	RD	Department of Defense	EG&G
12	RD	Department of Defense	EG&G
12	RD	Department of Defense	LEONARD WOOD INSTITUTE
12	RD	Department of Defense	LEONARD WOOD INSTITUTE
12	RD	Department of Defense	ONCONOVA THERAPEUTICS INC
12	RD	Department of Defense	Renewable Alternatives, LLC
12	RD	Department of Defense	SHAW ENVIRONMENTAL
12	RD	Department of Defense	SHAW ENVIRONMENTAL
12	RD	Department of Defense	WESTINGHOUSE ELECTRIC CORP
20	RD	Department of Transportation	ALASKA RAILROAD CORP
20	RD	Department of Transportation	TRANSPORTATION RESEARCH BOARD
20	RD	Department of Transportation	TRANSPORTATION RESEARCH BOARD
20	RD	Department of Transportation	TRANSPORTATION RESEARCH BOARD
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20	RD	Department of Transportation	TRANSPORTATION RESEARCH BOARD
20	RD	Department of Transportation	TRANSPORTATION RESEARCH BOARD
21	RD	Department of the Treasury	UNISYS Corporation
66	RD	Environmental Protection Agency	MICKEY LELAND NATL AIR TOXICS RES CTR
66	RD	Environmental Protection Agency	NE INTERSTATE WATER POLL CONTROL COMM
81	RD	Department of Energy	Aegis Technology
81	RD	Department of Energy	Burge Environmental
81	RD	Department of Energy	Delphi
81	RD	Department of Energy	FUTUREGEN INDUSTRIAL ALLIANCE INC
81	RD	Department of Energy	Lower Columbia River Estuary Partnership
81	RD	Department of Energy	Montana State University
81	RD	Department of Energy	WASHINGTON GROUP INTERNATIONAL
93	859	Department of Health and Human Services	Massachusetts General Hospital
93	864	Department of Health and Human Services	PRINCETON UNIVERSITY
93	865	Department of Health and Human Services	PRINCETON UNIVERSITY
93	RD	Department of Health and Human Services	CNA CORP
93	RD	Department of Health and Human Services	JOHNS HOPKINS UNIVERSITY
93	RD	Department of Health and Human Services	MACROGENICS
93	RD	Department of Health and Human Services	Oregon State University
93	RD	Department of Health and Human Services	UNIVERSITY OF NORTH CAROLINA
97	RD	Department of Homeland Security	NORTHROP GRUMMAN CORP
97	RD	Department of Homeland Security	SYNERGY ENTERPRISES INC

Criteria — OMB Circular A-133 requires that pass-through entities are responsible for "ensuring that subrecipients expending $500,000 or more in Federal awards during the subrecipient's fiscal year for fiscal years ending after December 31, 2003, as provided in OMB Circular A-133 have met the audit requirements of OMB Circular A-133."

Finding — Two subrecipients from a total of 40 subrecipients selected did not submit an audit of their federal awards to the Company. The Company did not follow up to ensure the report was completed in a timely manner.

Effect — Improper monitoring of subrecipients could lead to the improper monitoring of federal funds.

Questioned Cost — N/A

Recommendation —The Company should ensure that all compliance audits are obtained and reviewed.

Views of Responsible Officials — The Company concurs with the audit finding. In addition, the Company understands it is responsible for monitoring sub-recipient performance and compliance. With respect to "ensuring sub-recipients expending $500,000 or more in Federal awards during the sub-recipient's fiscal year, as provided in OMB Circular A-133, have met the audit requirements of OMB Circular A-133", Battelle Columbus Operations (BCO) takes the following actions.

BCO's current practice is to send a notice to non-profit and educational institution subcontractors on an annual basis to certify the status of their A-133 audit and report any findings related to awards from the Company. The Strategic Acquisition Services department presently maintains a list that includes the following sub-recipient information:

- Requests sent to sub-recipients
- Date responses are received from sub-recipients
- Date reminder notice sent if response not received
- Sub-recipients A-133 status
- Date request for information about the finding is sent to sub-recipients
- Date of response to information requests received from sub-recipients
- Sub-recipients point of contact information.

BCO will document and update the procedures related to sub-recipient monitoring to include follow-up actions when responses are not received in a timely manner or when the subcontractor's audit contains findings that impact awards from the Company.

Anticipated Implementation Date: December 1, 2011.

PART IV — SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS

No matters were reportable.

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